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# Advanced electron cyclotron heating and current drive experiments on the stellarator Wendelstein 7-X

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**Abstract.** During the first operational phase (OP 1.1) of Wendelstein 7-X (W7-X) electron cyclotron resonance heating (ECRH) was the exclusive heating method and provided plasma start-up, wall conditioning, heating and current drive. Six gyrotrons were commissioned for OP1.1 and used in parallel for plasma operation with a power of up to 4.3 MW. During standard X2-heating the spatially localized power deposition with high power density allowed controlling the radial profiles of the electron temperature and the rotational transform. Even though W7-X was not fully equipped with first wall tiles and operated with a graphite limiter instead of a divertor, electron densities of  $n_e > 3 \cdot 10^{19} \text{ m}^{-3}$  could be achieved at electron temperatures of several keV and ion temperatures above 2 keV. These plasma parameters allowed the first demonstration of a multipath O2-heating scenario which is envisaged for safe operation near the X-cutoff-density of  $1.2 \cdot 10^{20} \text{ m}^{-3}$  after full commissioning of the ECRH system in the next operation phase OP1.2

## 1 INTRODUCTION

On 10th December 2015, after 15 years of construction, the first plasma was created in Wendelstein 7-X (W7-X). It is the world's largest optimized stellarator ( $R = 5.5 \text{ m}$ ,  $r = 0.5 \text{ m}$ ), with 3-D shaped superconducting modular coils and a five-fold symmetry. W7-X aims to achieve reactor relevant plasma parameters in quasi steady state operation [1]. For this reason, the device will be equipped with a 10 MW electron cyclotron resonance heating (ECRH) system allowing at least 30 minutes continuous operation. The design frequency of the already installed 10 gyrotrons is 140 GHz corresponding to second harmonic heating at the magnetic axis with a local magnetic field of 2.5 T [2]. As a very versatile technique, ECRH can provide plasma start-up, bulk plasma heating, current drive as well as wall conditioning. Even though, the confinement of a stellarator is only given by the external field coils, electron cyclotron current drive (ECCD) can be used at W7-X to compensate a finite bootstrap current of up to tens of kAmps in some magnetic field configurations

which can cause a shift of the strike line on the divertor. Furthermore, the steady state operating magnetic field prevents any re-conditioning of the wall by glow discharges, requiring the development of adapted ECRH wall conditioning scenarios.

ECRH was the exclusive heating system in the first operational phase (OP1.1) which ended in March 2016 after 10 weeks of operation and was primarily dedicated to an integral commissioning of the heating system, the diagnostics and especially the components of the device itself, e.g. vacuum vessel and coil system. For this reason, the machine was not fully equipped with wall protecting graphite tiles leaving a larger surface of the CuCrZ-cooling structure unprotected. Furthermore, instead of the foreseen 10 divertor elements, a graphite limiter was installed on the high field side (HFS) in each bean plane of the five modules preventing a direct contact of the plasma with the unprotected regions of the plasma vessel [3]. Therefore, the plasma volume was limited to an effective minor radius of  $a = 0.49 \text{ m}$ . In parallel, the flexible coil system of W7-X was used to operate a magnetic field configuration at a lower

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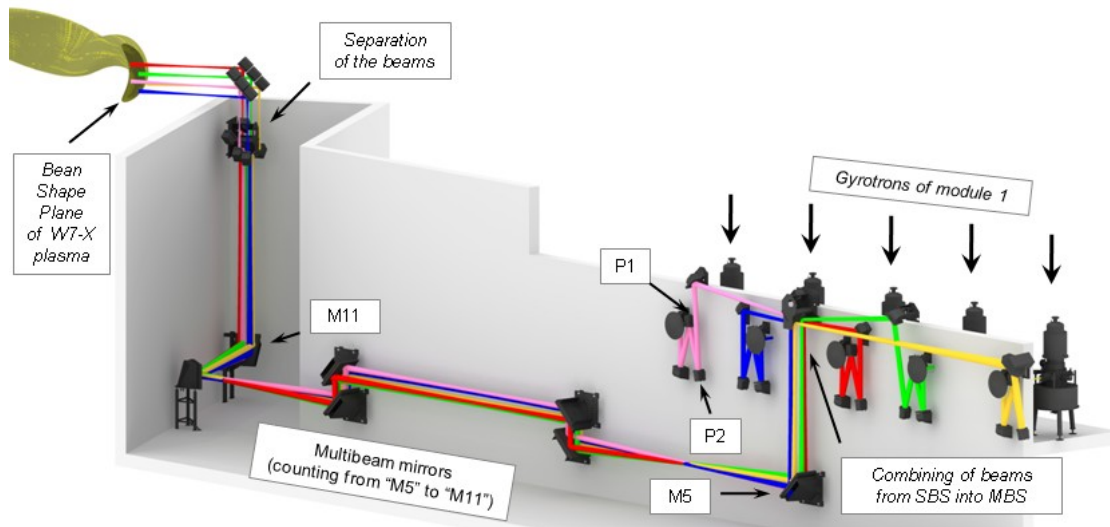


Fig. 1. Fully quasi-optical transmission line to the torus of Wendelstein 7-X

rotational transform developing from  $\tau_0 = 0.79$  in the centre to  $\tau_a = 0.87$  at the scrape off layer to push the the 5/6 island chain inside the plasma volume.

## 2 Setup of the ECRH system

The microwaves are provided by 10 gyrotrons which are aligned in two rows whereas the gyrotron outputs of each row face each other into a beam channel with two quasi-optical multibeam transmission lines being mirror symmetrically to each other. Fig. 1 shows one half of the whole installation excluding periphery like cooling and high voltage supplies. Additionally, one spare box is available on each side for a later upgrade of the system.

### 2.1. Gyrotrons

The gyrotrons were developed within the PMW-project (“Projekt Mikrowellenheizung für W7-X”) at KIT and have a nominal power of 1 MW at 140 GHz [4]. 9 gyrotrons were built by THALES in France and another one by CPI in USA. The overall power of all gyrotrons sums up to about 10 MW in short pulse operation and up to 9 MW for steady state. The power can be modulated with arbitrary waveforms at frequencies up to 10 kHz to determine the power deposition zone within the plasma and allow advanced heat wave experiments. In OP1.1 only 6 gyrotrons were used for plasma operation because of an energy input limit of 4 MJ per discharge given by the finite heat capacity of the limiter elements in the plasma vessel.

### 2.2. Transmission Line

The both quasi-optical transmission lines to the plasma vessel were also part of the PMW-project and the first of its kind. Each of them consists of five single beam sections (SBS) matching the non-perfect Gaussian beam output of the gyrotrons to the subsequent 40 m long

multi-beam section (MBS), which is intrinsically broadband. The whole MBS consists of 7 large-area multi-beam mirrors being able to transmit 7 gyrotron beams in parallel (5 gyrotron beams + 1 optional beam line for switching one gyrotron to another launcher + 1 beam line of the spare box). Finally, the MBS separates the beams and directs them to their respective vacuum window in a single beam line. The windows interface to the four equatorial launchers installed on W7-X with three beam lines each (see Fig. 2). The plasma facing mirror of each beam line is steerable in the poloidal and toroidal direction. This way, the radial heat deposition in the plasma and the plasma current drive can be varied, respectively. The polarization of the beams can be arbitrarily adjusted by two grooved mirrors, the polarizer 1 (P1) and polarizer 2 (P2), generating a  $\lambda/4$ - and  $\lambda/2$ -phaseshift, respectively.

The beam power of each beam line is measured by a calorimetric load before entering the MBS, as depicted in Fig. 3. The measured value has to be corrected by the theoretical transmission loss up to the vessel window to determine the final plasma heating power. However, the multi-beam transmission technique gives the opportunity

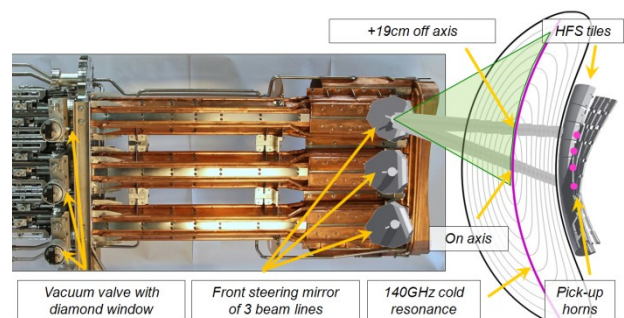
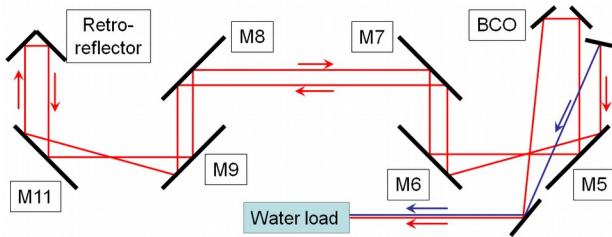
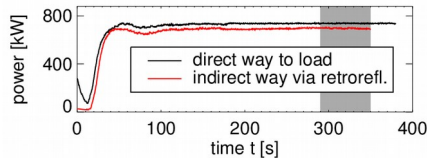


Fig. 2. Cross section of one of the 4 ECRH launchers in relation to the vacuum flux surfaces of the limiter configuration in OP1.1. The beam with a diameter of about 80mm in the plasma centre is shown for two examples of the upper beam line. Its whole steering range is visualized by the green shaded area.

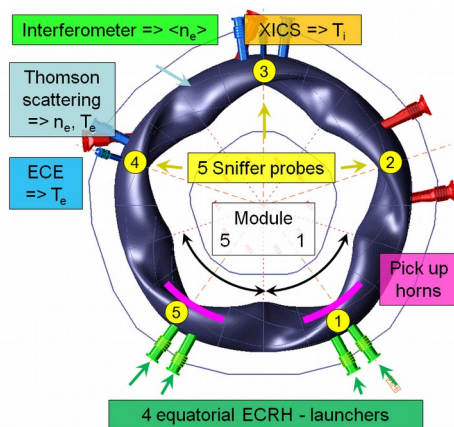


**Fig. 3.** Schematic picture of the MBS fed by the mirror 4 (M4) which is the last mirror of the SBS and is switchable between the water load (blue beam line) or the MBS to W7-X (red). Optional use of a retroreflector allows the additional power measurement after passing the MBS twice.

to introduce a retroreflector at the end of the MBS to redirect a beam to the same calorimetric load for measuring the transmission losses after passing the MBS two times (see Fig. 3). Using the same load has the advantage, that uncertainties of the single power measurement cancel out. The time traces of the two separate power measurements are overlaid in Fig. 4. After 300 seconds the gyrotron as well as the load is fully equilibrated allowing an accurate comparison of both power levels. The transmission efficiency of this experiment was determined to  $(94.4 \pm 0.4) \%$  being in very good agreement with the theoretical value of  $94.2 \%$ . Therefore, the loss of the MBS can be specified to  $0.06 \%/m$  including atmospheric absorption of  $0.02 \%/m$  for 140 GHz. Finally, the overall transmission efficiency from the gyrotron window up to the launcher window can be calculated to  $94\%$  including diffraction, beam truncation, misalignment and absorption by the mirrors and by the atmosphere.



**Fig. 4.** Evaluation of the efficiency of the MBS by comparison of the gyrotron beam power on a direct way to the load with the indirect way via a retroreflector at the end of the MBS (the evaluated time windows are grey shaded)



**Fig. 5.** Top view on the W7-X torus with the positions of the main diagnostics and the ECRH launchers in module 1 and 5.

### 3 Diagnostics for plasma operation

Fig. 5 shows an overview of the W7-X torus indicating the position of the ECRH launchers and the most important diagnostics which were commissioned during OP1.1. Safety relevant diagnostics like the stray radiation probes (sniffer) [5], indicating the ECRH absorption, and the electron cyclotron emission diagnostic (ECE) [6], measuring the electron temperature, were available from the first day of operation. A detailed description of all diagnostics is given in [7].

### 4 ECRH scenarios during OP1.1

The standard heating scenario at W7-X is X2-heating at 140 GHz with an electron cutoff-density of  $n_{e,X-cut} = 1.2 \cdot 10^{20} \text{ m}^{-3}$ . However, for envisaged electron densities higher  $n_e > 0.8 \cdot 10^{20} \text{ m}^{-3}$  a completely different O2-heating-scenario should be used for safety reasons allowing densities up to  $n_{e,O-cut} = 2.4 \cdot 10^{20} \text{ m}^{-3}$  (even though this value cannot be reached with the available ECRH power). Both scenarios were successfully demonstrated up to electron densities of  $n_e = 4 \cdot 10^{19} \text{ m}^{-3}$ , whereas the subsequent explanations follow the timeline of progress during OP1.1. The conducted heating scenarios including the expected absorption were optimized in advance with the aid of the ray tracing code TRAVIS [8] developed in IPP. Without anticipating the following results, no contradictions to the experiments raised up.

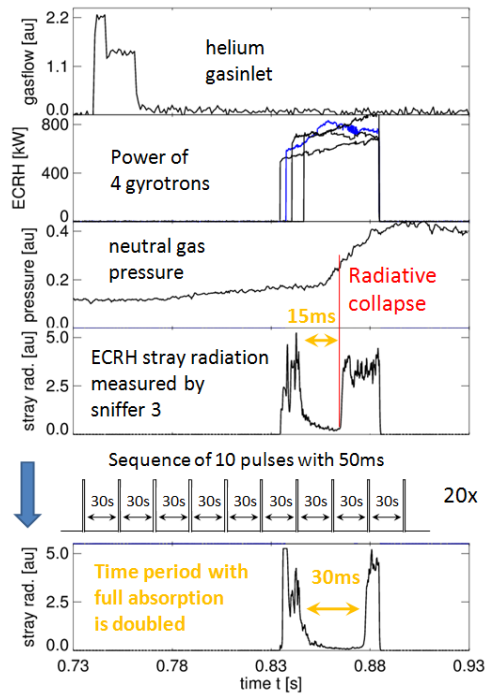
#### 3.1 X2-heating

To similarly fulfil the on-axis resonance condition for thermal and suprathreshold electrons during the plasma breakdown an optimal magnetic field with  $B_{00} = 2.52 \text{ T}$  on axis was forecast and proved in a magnetic field scan (2.48-2.54 T) on the first day of OP1.1 However, poor vacuum conditions necessitate the use of ECRH for wall conditioning during the first days of operation.

##### 1.1.1 Wall Conditioning

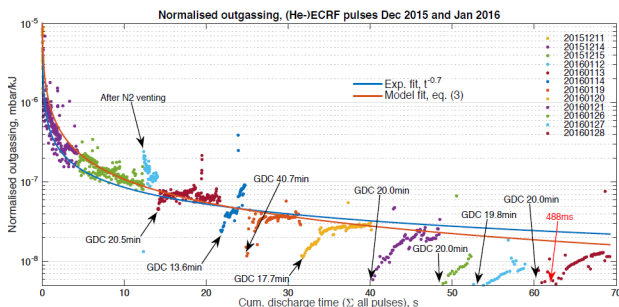
In preparation of plasma operation, the vacuum vessel was baked one week at  $150^\circ$  to remove most of the water. Because of a possible risk of copper sputtering and other problems with the glow discharge system, the plasma operation was started in helium without glow discharge cleaning (GDC). For this reason, the first days of plasma discharges were governed by strong outgassing of the walls, so that full absorption could not be achieved in the first discharges because of a radiative collapse immediately after breakdown. The wall conditions could be drastically improved by repeating 50 ms shots hundreds of times. Fig. 6 shows the full discharge behaviour after 110 pulses and in comparison the stray radiation time trace after 220 further pulses. The time period with full absorption could be doubled whereas all available gyrotrons were used during the cleaning pulses to bring as much as possible energy into the plasma and





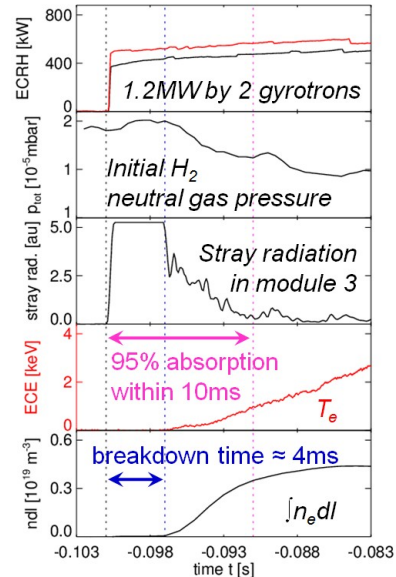
**Fig. 6.** Temporal evolution of a cleaning discharge after 0.1s cumulated discharge time compared with the stray radiation after 3s cumulated discharge time

thus onto the plasma wetted limiter elements. Further energy transfer to the walls appears by soft X-ray and charge exchange processes releasing further impurities. After 3 days of W7-X operation, the glow discharge system was operated with helium for periods of 20 – 40 min in advance of each experiment day. Fig. 7 shows the normalized outgassing peak after a helium discharge divided by the absorbed energy in dependence on the cumulated discharge time in which almost full absorption was achieved. After GDC the outgassing is decreased because of hydrogen desaturation of the whole first wall which pumps in the preceding discharges and partially allows density control. However, the overall trend was recovered at the end of an experiment day indicating that the vacuum conditions are mainly determined by the energy carried into the plasma wetted areas leading to depletion of impurities from deeper trapped states. For this reason, only GDC with hydrogen or ion cyclotron resonance heating could speed up the time consuming ECRH wall conditioning. All details of the analysis can be found in [9].



**Fig. 7.** Outgassing after each ECRH discharge during the first 12 operation days. After this period the gas was changed from He to H<sub>2</sub> with continuation of the trend (adapted from [9])

Nevertheless, desaturation of the walls after massive hydrogen operation was successfully demonstrated by means of an intermediate helium ECRH discharge being the only possibility to recover density control during the experiment day.

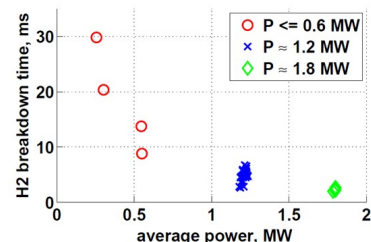


**Fig. 8.** Temporal evolution of the basic control and plasma parameters during a typical plasma startup in hydrogen

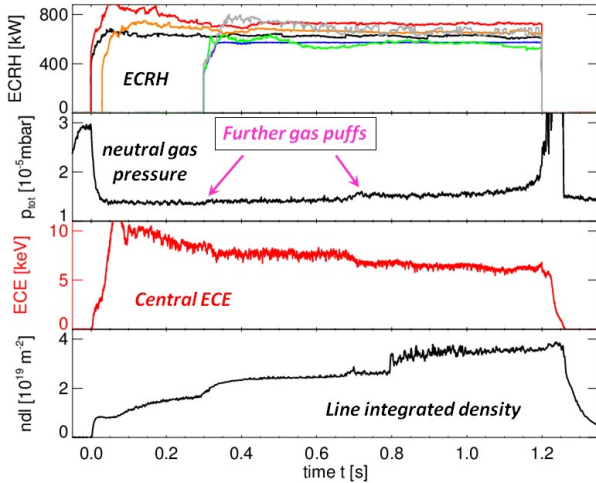
### 1.1.2 Startup behaviour & bulk plasma heating

The breakdown of W7-X-plasmas happens on a considerably fast time scale. Fig. 8 shows the temporal evolution for a typical startup scenario using 2 gyrotrons with an overall power of 1.2 MW. Almost full absorption can be achieved within 10 ms after injecting microwaves including a breakdown time of 4 ms. The use of a higher power for breakdown was not advantageous because of the typical saturation in the reduction of the breakdown time as obvious in Fig. 9. Furthermore, the ECR heated W7-X plasmas are governed in general by central electron root confinement so that the startup would not be fastened by a further increase of the power density at the low electron particle density at the beginning of the discharge. In general, the shown breakdown scenario was 100% reliable, if the used gyrotrons and the gasinlet were activated as programmed.

Further gyrotrons were added after 30 ms including further gas puffs to rise up the density as shown in Fig. 10. Exhausting the energy limit of 4 MJ at 4.3 MW allowed to increase the density up to  $n_e = 4 \cdot 10^{19} \text{ m}^{-3}$  at



**Fig. 9.** Breakdown time in H<sub>2</sub>-plasmas in dependence on the used ECRH power



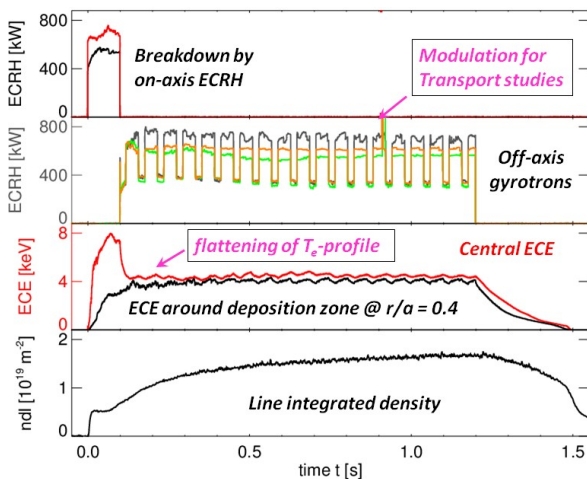
**Fig. 10.** Temporal evolution of a discharge with the maximum ECRH power available in OP1.1

central  $T_e = 7$  keV. Because of the improved heat transfer from electrons to ions with increasing density, the ion temperature rose up to  $T_i > 2$  keV.

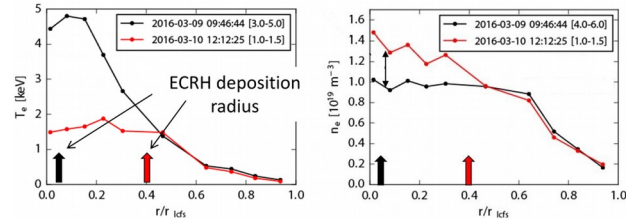
### 1.1.3 Control of electron temperature & iota profile

The spatially localized ECRH power deposition ( $\Delta r/a < 0.1$ ) with high power density typically allows the control of non-stiff plasma profiles. For this purpose, the front steering mirrors of the ECRH-beam lines can be varied in vertical direction. In this case the beams have to be corrected in horizontal direction to sustain the vertical incidence on the magnetic field lines because of the 3D-plasma shape of W7-X.

Fig. 11 shows a conducted scenario, where the plasma was ignited by 2 on-axis gyrotrons followed by a switch to 3 off axis gyrotrons with a deposition radius of  $r/a = 0.4$ . Additionally, the power of each gyrotron was square wave modulated with a frequency of 17 Hz to analyze the heat transport and compare it with a similar on axis discharge. A first analysis can be found in [11] casting an electron heat diffusivity of the right magnitude but too high error bars for a verification of the neoclassical optimization of W7-X. However, a closer look onto the Thomson profiles (see Fig. 12) of an on-



**Fig. 11.** Temporal evolution of an off-axis scenario with modulated gyrotrons for transport studies

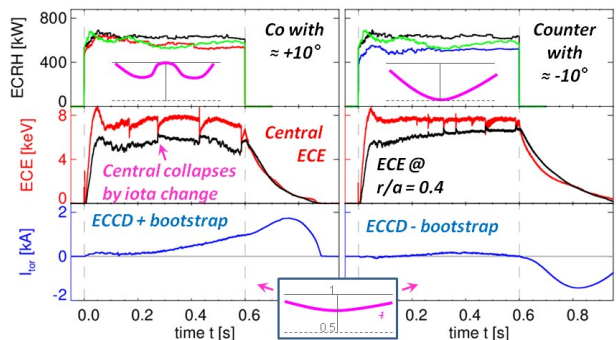


**Fig. 12.**  $T_e$  and  $n_e$  profiles of an on- and off-axis heated discharge with a heating power of 0.6 MW (adapted from [11])

and off-axis discharge with a power level of 0.6 MW shows non-stiff temperature profiles and an increase of the central density by about 30 % going from on-axis to off-axis indicating a reduction of an outward particle diffusion which is predicted by neoclassical theory in presence of a strong electron temperature gradient [10]. A more detailed investigation on this topic can be conducted with the availability of more advanced diagnostics in OP1.2 allowing a more accurate determination of the central radial electric field playing a fundamental role in the present long mean-free-path regime. A summary of the actual state of knowledge can be found in [12].

A much more drastic change of the central confinement properties can be triggered by variation of the rotational transform induced by ECCD. Fig. 13 shows the comparison of 2 discharges with a centrally driven current co- and counter-acting to the rotational transform with a central value of  $\iota = 0.79$  for the vacuum configuration. The change of the central iota to the resonant value of 1.0 and 0.5, respectively, leads to a reconnection of magnetic field lines and short circuit of the central profile. For this reason, the central electron temperature crashes in both cases on a timescale of  $\mu s$ . At the same time the induced central current peaks are hot-wired and the confinement is recovered leading to a rise of the profiles until the next crash. These fast internal current changes are not visible by the rogowski coils because of slowly varying shielding currents with a L/R-time of several seconds.

A possible application in later operation phases could be a controlled reduction of a central impurity contamination, because the typically flat density profile would not be affected by a central profile short circuit. A further possibility would be the flattening of hole density profiles which are predicted for high density operation, e. g. O2-heating [13].



**Fig. 13.** Comparison of ECCD scenarios with Co- and Counter-driven currents to the rotational transform (expected change of iota profile is sketched in pink)

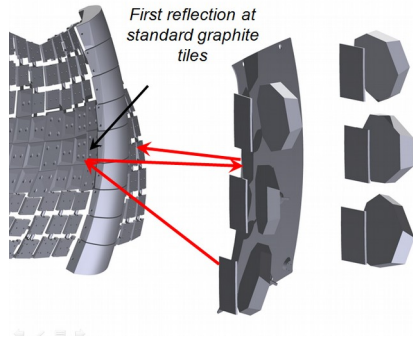


Fig. 14. 3-beam-path-scenario used in OP1.1 to increase the ECRH-absorption in O2-heating scenarios

### 3.2 O2-heating

Even though the achieved electron densities in OP1.1 were far away from the cut-off for X2-heating, an advanced O2-heating scenario, foreseen for later operation phases, was proven. In contrast to X2-heating, full absorption of the O2-mode is not possible for the expected plasma parameters of  $n_e \approx 10^{20} \text{ m}^{-3}$ , and  $T_e < 5 \text{ keV}$  at a heating power of up to 10 MW. The shinethrough power is up to 30 % depending on the particular beam path which is with an incidence angle of about  $78^\circ$  already optimized to maximum. For this reason, the shinethrough beam should be reflected at an HFS-tile, redirecting the beam again through the plasma centre onto a polished stainless steel panel in between the both ECRH-launchers in module 1 and 5 (see Fig. 14). The second reflection on the panel should pass the plasma again centrally, so that the not absorbed power will be less than 5%.

The experiment, shown in Fig. 15, was conducted at  $B_{00} = 2.57 \text{ T}$  to centralize the broad deposition zone. Because of the increased magnetic field the plasma has to be ignited by 2 E-port gyrotrons in X-mode. After a

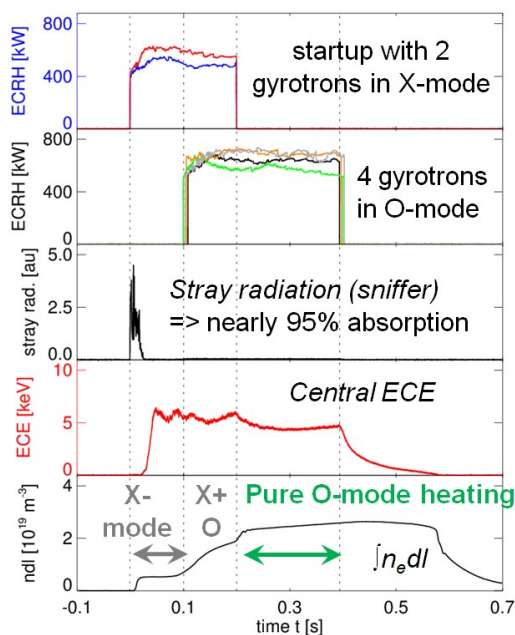


Fig. 15. Temporal evolution of a discharge in which the plasma was sustained by pure O-mode heating using a 3-path-scenario

further gas puff at 100 ms the O-mode gyrotrons were activated leading to a clear increase of the density. The X-mode gyrotrons were deactivated at 200 ms with a slight drop in the electron temperature. However, the density increases and the plasma can be fully sustained by O2-heating.

## 5 Conclusions and Outlook to OP1.2

Even though the plasmas of OP1.1 were typically influenced by many impurities because of the restricted use of glow discharge cleaning and the limited energy input per pulse, the functionality of all ECRH scenarios important for OP1.2 could be proved. A detailed analysis of the energy confinement time shows good agreement with the ISS04-scaling [11], even though the full performance of W7-X was not achieved because of limiter instead of divertor operation. For this reason, in general higher densities are expected in OP1.2 to show the necessity of the O2-heating scenario which will be upgraded by holographic reflection tiles at the HFS allowing for all gyrotrons a central plasma crossing for all three passes independent on the incidence angle on the HFS-tiles. In addition, two so called remote steering launchers have been installed in a toroidal position where magnetic field gradient is low. This allows advanced current drive scenarios with beneficial ECRH coupling to suprathermal passing electrons [14].

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