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#### Design of reduced activation ferritic-martensitic steels with improved creep resistance by thermodynamic modelling

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#### Abstract

An approach based on the systematic use of thermodynamic modelling has been worked out and applied for the development of reduced activation ferritic/martensitic (RAFM) steels with improved creep resistance by composition tuning. Initially, a series of Thermo-Calc<sup>®</sup> calculations were performed to construct a database of phase equilibria. Based on this database and constraints deduced from literature, compositions with potentially better creep resistance were identified. The model was then fine-tuned by adding new constraints to find compositions and accompanying heat treatments that should result in the anticipated improved mechanical properties. An experimental heat resembling an optimized alloy according to the model was characterized and found to show improved creep resistance compared to the reference steel, EU97-2, thereby validating the model.

KEYWORDS Reduced activation steels, thermodynamic modelling, creep, Thermo-Calc, experimental steel

#### 1. Introduction

Reduced activation ferritic/martensitic (RAFM) steels are considered as candidate structural materials for fusion reactors because of their good swelling resistance, low thermal expansion and high thermal conductivity [1]. The European variant of a RAFM steel is EUROFER97 (EU97). The composition of EU97 [2] was obtained by replacing high activation alloying elements typically found in commercial 9Cr-1Mo steels, like T91 [3] with lower activation ones, for easier waste disposal. Specifically, the high activation elements Mo, Nb, Ni and Co, were replaced with equivalent concentrations of W, Ta and V.

[wt%]	С	Mn	Si	Cr	Мо	W	Ν	V	Та	В	Al	Ni
T91	0.08-0.12	0.3-0.6	0.2-0.5	8.0-9.5	0.85-1.05	-	0.03-0.0.7	0.18-0.25	-	-	<0.4	<0.4
EU97	0.09-0.12	0.2-0.6	0.05	8.5-9.5	-	1.0-1.2	0.015-0.045	0.15-0.25	0.10-0.14	-	-	-
0.05C_0.037N	0.049	0.44	0.04	8.8	-	0.98	0.037	0.28	0.19	0.0065	-	-

 Table 1: Chemical compositions of T91, EU97 and experimental grade 0.05C\_0.037N. The Fe content is given by the balance.

The existing RAFM grades are designed for first wall structural steels with an optimal service temperature in the window of 350 - 550°C [4], limiting the allowable interface temperature to about 550 °C. Higher operating temperatures are, however, targeted for increased operation capabilities at which the RAFM steels currently exhibit inacceptable loss of strength due to creep [5, 6].

The creep resistance of ferritic/martensitic steels can be improved by effective obstruction of sub-yield dislocation motion by introducing fine stable MX (M = V and/or Ta, X = C or N) carbonitrides in the martensitic matrix [7, 8], as well as increasing the solid solution alloying [9] and decreasing the grain size [10]. The time to rupture can be increased by suppressing grain boundary sliding and avoiding the presence of large particles at the grain boundaries. Thus, the material must have a tempered martensitic matrix with fine uniformly distributed MX and a limited fraction of large M<sub>23</sub>C<sub>6</sub> carbides on the grain boundaries. This type of microstructure is typically obtained by a normalization, quench and temper treatment. During normalization, M<sub>23</sub>C<sub>6</sub> and MX precipitates dissolve completely or partially in the austenitic matrix, respectively, depending on temperature. The final grain size of the material also depends on the normalization temperature, as well as on the holding time before quenching. During the tempering step, the M<sub>23</sub>C<sub>6</sub> and MX will reprecipitate with a finer size distribution and the martensite will soften, so part of the material's toughness is retrieved. The parameters of the heat treatment and the alloying elements are therefore directly related to the final mechanical properties of the material and can therefore be optimized.

Nowadays, it has become customary to use thermodynamic packages such as Thermo-Calc<sup>®</sup> as a guide to check the influence of composition and temperature, often chosen based on experience or intuitive criteria, on the range of stability of phases at thermodynamic equilibrium. The thermodynamic (TD) modelling approach proposed here goes beyond the classical use of thermodynamic packages. It is based on the idea of manipulating a large database, obtained by a systematic and intensive use of Thermo-Calc<sup>®</sup> in a broad range of compositions and temperatures, to identify the optimal steel composition and heat treatment temperatures for the optimization of the creep resistance. The criteria behind the optimization take their origin from the known fact that mechanical degradation after long-term exposure at high temperature stems from the coarsening of precipitates and the extended recovery of the martensitic structure [4, 5]. The search algorithm finds the optimum conditions via suitable criteria to identify a subset of compositions and temperatures (both normalization

temperature,  $T_{norm}$ , and tempering temperature,  $T_{temp}$ ). The optimization criteria are then refined, or different sets of criteria are considered, until convergence to a specific optimal composition and heat treatment parameters is obtained. Eventually, a steel with a composition and heat treatment parameters close to the optimum found from the TD model was identified amongst a set of already manufactured steels. Mechanical tests were performed to verify that the DBTT and yield strength is similar to the reference material, while the creep resistance is improved, thereby allowing the validity of the TD modelling approach to be experimentally verified.

# 2. Methods

# 2.1. Experimental techniques

The composition of EU97 is given in Table 1, as a reference for the ranges of alloying elements used in the thermodynamic calculations. Additionally, the composition of the experimental reduced activation ferritic/martensitic (RAFM) heat used to validate the model is also shown in Table 1. The latter is denoted as 0.05C\_0.037N.

The cast of the 0.05C\_0.037N grade was thermo-mechanically (TM) treated according to the procedure described in [12]. The TM treatment implied the rolling of the cast in six consecutive rolling passes at a predefined fixed temperature to a final thickness of 11 mm at a final rolling temperature of 850 °C. After the TM treatment, the material was annealed at a normalization temperature ( $T_{norm}$ ) of 1050 °C for 30 minutes followed by water quenching. The plate was subsequently tempered at a tempering temperature ( $T_{temp}$ ) of 750 °C for 2 hours, and then air cooled. The critical temperatures for the 0.05C\_0.037N grade were determined by dilatometry.  $T_{norm}$  was chosen as  $A_{c3} + 100$  °C, the tempering temperature on the other hand was chosen by analogy with to the tempering treatment of EU97-2 [2].

The microstructural characterization was performed using light optical microscopy (LOM), electron back scattered diffraction (EBSD) and scanning transmission electron microscopy (STEM). The precipitates were analyzed by electron diffraction spectrometry (EDS) and inductively coupled plasma mass spectrometry (ICPMS). The STEM images were post-analyzed by ImageJ to determine the size of the precipitates [12].

The tensile tests were carried out in a 250 kN load-capacity Zwick Z250 machine following NBN EN ISO 6892-1 standard on A50 specimens with L-orientation [13].

Specimens with L-T orientation according to ISO 148-1, were tested on a 750 J Charpy test unit at temperatures ranging between -150°C and 50 °C. The data was fitted with  $E = (LSE + D/2) + D/2 \times \tanh \ell$ , with D = USE - LSE, C = slope of transition region and T<sub>0</sub> = ductile-to-brittle transition temperature (DBTT) [13].

Creep tests on specimens with L-orientation were performed under air using a lever arm creep machine following European standard NF ISO 204 from August 2009. The creep specimens have a 40 mm full length and a diameter of 4 mm. The stress controlled tests were carried out at 650°C with stresses of 170 MPa and 100 MPa.

## 2.2. Thermodynamic model

Thermodynamic equilibrium calculations were performed using the Thermo-Calc<sup>®</sup> 2017a software [14] in combination with the thermodynamic database TCFE7: Steels/Fe-Alloys v7.0 [15]. The latter is designed to describe the thermodynamics of high-Cr ferritic-martensitic steels. The output of the thermodynamic calculations is the equilibrium volume fraction ( $f_{vol}$ ) of the different equilibrium phases at a given temperature, pressure and composition.

Thermo-Calc<sup>®</sup> calculations were systematically performed in the range of temperature and compositions given in Table 2 (the pressure was constant and set to the atmospheric value, 101.3 kPa), on an equidistant grid consisting of 11 points on the *T* axis and 6 points on the  $C_x$  axis.  $T_{norm}$  ranged between 900 - 1150 °C, while 650-780 °C serves as the range for  $T_{temp}$ . This scheme resulted in  $11 \times 6^6 = 513,216$  equilibrium calculations, requiring a total of ~720 CPU hours. As a result,  $f_{vol}$  was obtained on each grid point for the following phases: ferrite solid solution, austenite solid solution,  $M_{26}C_6/M_3C_2/M_5C_2/M_6C/M_7C_3$  carbides, VN and TaC carbonitrides. Values between grid points were determined via multi-linear interpolation.

<i>T</i> [°C]	p [kPa]	<i>C</i> <sub>Si</sub> [wt.%]	С <sub>мп</sub> [wt.%]	<i>C</i> <sub>C</sub> [wt.%]
650 - 1150	101.30	0.03	0.4	0.02 - 0.15
<i>C</i> <sub>Cr</sub> [wt.%]	<i>C</i> <sub>N</sub> [wt.%]	<i>C</i> <sub>v</sub> [wt.%]	<i>C</i> <sub>Ta</sub> [wt.%]	<i>C</i> w [wt.%]
8.5 - 9.8	0.03 - 0.07	0.15 - 0.5	0.15 - 0.5	0.5 - 2.5

 Table 2: The temperature range, pressure and composition range explored in the thermodynamic calculations.

By scanning and treating the output of the calculation as a function of  $T_{\text{norm}}$ ,  $T_{\text{temp}}$ , and the different concentrations ( $C_X$  with X = C, Cr, N, V, Ta and W),  $f_{\text{vol}}$  maxima for vanadium nitrides (VN) and tantalum carbides (TaC) were obtained under given constraints based on metallurgical arguments (see section 3.1). The physical problem corresponds to a numerical constrained optimization in 8 dimensions. The interpolation allowed the local optima to be identified; the optimum of all local optima provided the global optimum.

## 3. Results

## 3.1. Thermodynamic model

Table 2 shows the investigated composition ranges, which were fairly wide in comparison with the EU97 composition (Table 1). The Cr content was centered around 9 wt% Cr because this composition provides an optimum in terms of minimum DBTT shift after irradiation [16]. However, it is considered that a moderate variation of Cr content may help improving the microstructure in terms of creep strength, without significantly increasing the DBTT shift. The reasons for changing the content of the other elements are plenty and, depending on the criteria, an increase or decrease of the same element may be suggested, making a numerical optimization based on thermodynamic indications highly desirable. The content of V, Ta, C and N should be increased to maximize the fraction of MX (M= V and/or Ta and X= C or N). However, the C content is allowed to be as low as 0.02 wt%, to study the possibility of having

very low carbon RAFM steels, given that this could be beneficial to mitigate irradiation embrittlement. As a matter of fact, less carbon in the material is expected to reduce the formation of dislocation loops and vacancy clusters under irradiation, thereby mitigating the irradiation hardening that is a source of embrittlement [17]. The W content was extended in the optimization process to allow for the contribution of W in the formed phases. The Z-phase, however, was suspended from the calculations, as it is considered to be an equilibrium phase that only occurs in 9 wt% Cr steels after extended exposure to high temperature [18]. Moreover, thermodynamic calculations show that no Laves phase is formed in the considered 9 wt% Cr steels at 650 °C, so this phase is suspended from the calculations as well.

The algorithm searches for the compositions with the highest  $f_{vol}$  of MX carbonitrides and a zero  $f_{vol}$  of  $M_xC_y$  ( $M_{23}C_6$ ,  $M_3C_2$ ,  $M_5C_2$ ,  $M_6C$  and  $M_7C_3$ ) carbides at  $T_{temp}$ , in a fully martensitic matrix (a complete martensitic structure is ensured by imposing that the ferrite fraction is zero at  $T_{norm}$ ). MX carbonitrides are indeed preferred in the matrix over  $M_{23}C_6$  carbides due to their very low coarsening rate and their contribution to the strength of the material [19]. The maximization of the MX  $f_{vol}$  is performed first without any constraints added (Table 3), and then after adding, one by one, three constraints, namely:  $T_{norm} \leq 1050$  °C ("T\_norm\_limit");  $C_c > 0.02$  wt% C ("C\_limit") and, finally,  $f_{vol}$  of VN minimal at  $T_{norm}$  ("VN\_min"). Adding a constraint to the algorithm creates a subset of the thermodynamic database in which the maximum  $f_{vol}$  of MX is searched. Adding more constraints further refines the subset, limiting the number of compositions in which the MX fraction can be maximized.

Table 3: The constraints and conditions considered in the search algorithm.

Constraints	Conditions for type MX		
Unconstrained			
("Unconstrained") Impose upper limit to T <sub>norm</sub>	${ m VN}_{ m MAX}$ (only the $f_{vol}$ of this type of MX is maximized)		
("T_norm_limit"): keep PAG size small Lower limit C <sub>C</sub>	MIX (a 50/50 % <i>f</i> <sub>vol</sub> is maximized)		
("C_limit"): guarantee sufficient amount of C to produce martensite	${ m TaC}_{ m MAX}$ (only the $f_{ m vol}$ of this type of MX is maximized)		
<b>Minimum</b> <i>f</i> <sub>vol</sub> <b>VN</b> at <i>T</i> <sub>norm</sub> ("VN_min"): guarantee reprecipitation of small size VN			

The first constraint limits  $T_{norm}$  to  $T_{norm} \leq 1050$  °C in order to avoid extensive austenitic grain growth (Table 3). The constraint is based on experimental results found in literature [2, 17]. The data presented by K.S. Chandravathi et al. [21] shows an exponential increase of prior austenite grain size (PAGS) depending on  $T_{norm}$ , with a sharp change in growth rate around 1050 °C (Fig. 3 in [21]). The strong dependence of grain growth with normalization temperature resembles the results for RAFM experimental EUROFER steel published by Puype *et. al.* (Fig. 3 in [12]), thus the choice of this temperature seems warranted. The second constraint that was added imposes a lower limit on the carbon content (Table 3). The reason for this constraint is that it was experimentally shown that, if the carbon content is too low, ferrite formation during quenching is inevitable [13,22,23]. The last additional constraint corresponds to minimizing the calculated fraction of VN at the chosen normalization temperature (Table 3). This criterion is suggested based on the consideration that, to further improve the creep resistance of the steel, the VN precipitates should be as finely dispersed as possible. If VN is minimized at  $T_{norm}$ , most of the VN precipitates dissolve during normalization, so the VN nitrides can reprecipitate in a finer and more homogeneous fashion during tempering. The latter is preferred compared to having a coarsening of the precipitates that nucleated during normalization. This condition can only be applied to VN nitrides as the dissolution temperature of TaC is too high and would lead to extensive austenitic grain growth. Additionally, it was verified that it is beneficial that  $f_{vol}$  of TaC be non-zero at  $T_{norm}$ , to pin grain boundaries and further limit prior austenite grain growth [24].

The optimal concentrations of  $C_X$  will vary depending on the type of MX that is maximized: the focus can be on vanadium carbonitrides only, tantalum carbides only, or equal weight to both. Three distinct conditions were therefore explored (Table 3), i.e., 100% weight on the VN fraction ( $VN_{MAX}$ ), 100% weight on the TaC fraction ( $TaC_{MAX}$ ), or a 50/50% mixture of both MX carbonitrides fractions (*MIX*). The results for  $C_X$  (X = C, V, N and Ta) and  $T_{norm}$  are summarized in Fig. 1 and Fig. 2, respectively, as they will depend on either the type of condition or the imposed constraints.  $C_W$ ,  $C_{Cr}$  and  $T_{temp}$ , in contrast, are independent of the conditions and will not vary when introducing additional constraints. These values, determined in the "unconstrained" condition, are  $C_W = 0.5$  wt%,  $C_{Cr} = 8.9$  wt% and  $T_{temp} =$ 780°C. These values are excluded from Fig. 1 and Fig. 2, respectively, for clarity.

Different local optima for  $C_X$  are found by the algorithm in unconstrained state and after applying all the constraints (Fig. 1). The optimal  $C_C$  and  $C_{Ta}$  is either 0.02 wt% C or 0.046 wt % C and 0.15 wt% Ta or 0.50 wt% Ta, respectively. For  $C_V$  and  $C_N$  the optima are 0.5 wt% V or 0.36 wt% V and 0.07 wt% N and 0.03 wt% N, respectively. If the condition  $TaC_{MAX}$  is considered, so maximizing the  $f_{vol}$  of TaC, the  $C_C$  and  $C_{Ta}$  present in the material will need to be high and the  $C_V$  and  $C_N$  will need to be low and vice versa in the case of condition  $VN_{MAX}$ . For  $TaC_{MAX}$ , the algorithm therefore selects  $C_C = 0.046$  wt%,  $C_{Ta} = 0.5$  wt%,  $C_V = 0.36$  wt% and  $C_N = 0.07$  wt%, as these are the highest values for the optima of  $C_C$  and  $C_{Ta}$  and the lowest values for the optima of  $C_V$  and  $C_N$  (Fig. 1 (c)). The main difference between  $TaC_{MAX}$  and  $VN_{MAX}$  is that the  $C_X$  values in the  $TaC_{MAX}$  condition are independent of the applied constraints (Fig. 1 (c)). Therefore, the following discussion on the variation of the  $C_X$  with the constraints will focus on the  $VN_{MAX}$  condition only. The variation of the optimal  $C_V$  and  $C_N$  with the constraints is similar in the  $VN_{MAX}$  and MIX conditions (Fig. 1 (a) & (b)), as is the variation of  $C_{Ta}$  and  $C_C$  with the constraints in MIX and  $TaC_{MAX}$  conditions (Fig. 1 (b) & (c)).

The initial simulated composition for the  $VN_{MAX}$  condition, with no constraints added, is an alloy with 0.02 wt% C, 0.15 wt% Ta, 0.07 wt% N and 0.05 wt% V (Fig. 1 "Unconstrained"). The  $C_V$  and  $C_N$  are at the upper limit and  $C_C$  and  $C_{Ta}$  are at the lower limit of the allowable range (Table 2). These values for  $C_X$  don't change when the normalization temperature criterion is added to the search algorithm (Fig. 1 "T\_norm\_limit").

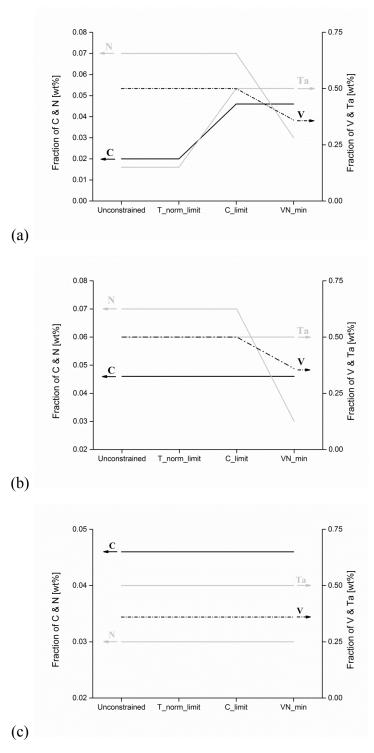


Fig. 1: Optimal concentrations of C, N, V and Ta to maximize the fvol of MX for conditions (a) VN<sub>MAX</sub> ,(b) MIX and (c) TaC<sub>MAX</sub> after imposing the additional constraint: 1) no additional constraint ("Unconstrained"); 2) T<sub>norm</sub> ≤ 1050 °C ("T\_norm\_limit"); 3) C<sub>c</sub> > 0.02 wt% ("C\_limit") and 4) fvol VN minimal at T<sub>norm</sub> ("VN\_min").

When imposing a lower limit on the carbon content, the optimal  $C_C$  and  $C_{Ta}$  change and increase from 0.02 wt% to 0.046 wt% and from 0.15 wt% to 0.5 wt%, respectively (Fig. 1 (a) "T\_norm\_limit" vs. "C\_limit"). However, increasing the threshold of carbon further rendered no different optimum.

Additionally, the VN minimization constraint affects  $T_{norm}$ , as well as the optimal  $C_V$  and  $C_N$ . The  $C_N$  decreased from 0.07 to 0.03 wt%,  $C_V$  decreases from 0.5 wt% to 0.36 wt% and  $T_{norm}$  increases from 900 °C to 1050 °C (Fig. 1 "C\_limit" vs. "VN\_min").

When no additional constraint is imposed (Fig. 2 "Unconstrained"), the search algorithm reveals that, independently of the MX condition, a temperature range of  $T_{norm}$  between 900 °C and 1150 °C and  $T_{temp}$  of 780 °C, should be considered in order to have the highest  $f_{vol}$  of MX carbonitrides during tempering. The normalization temperature criterion obviously has an effect on  $T_{norm}$ , which decreases from 1150 °C to 1050 °C (Fig. 2 "T\_norm\_limit). The minimization of VN  $f_{vol}$  at  $T_{norm}$  increases the lower limit of the  $T_{norm}$  range to 1050 °C (Fig. 2 "VN\_min"). The optimal heat treatment with the used constraints is therefore  $T_{norm} = 1050$  °C and  $T_{temp} = 780$  °C.

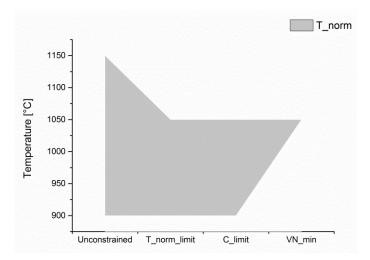


Fig. 2: Normalization temperature range for all conditions after imposing the additional constraint: 1) no additional constraint ("Unconstrained"); 2)  $T_{norm} \le 1050 \text{ °C}$  ("T\_norm\_limit"); 3)  $C_c > 0.02 \text{ wt\%}$  ("C\_limit") and 4)  $f_{vol}$  VN minimal at  $T_{norm}$  ("VN\_min").

After all the applied constraints, the model gives a fixed  $T_{norm}$  of 1050 °C, where the composition, consisting of 0.046 wt% C, 8.9 wt% Cr, 0.5 wt% W, 0.03 wt% N, 0.36 wt% V and 0.5 wt% Ta, contains a maximum  $f_{vol}$  of fine MX precipitates that form during tempering, which is expected to lead to an improved creep response in comparison with EU97-2.

Other compositions are proposed at different  $T_{temp}$ , which could also lead to improved creep properties. The compositions that have maximum MX precipitation after normalization at 1050 °C as a function of tempering temperature are given in Table 4.

	T <sub>norm</sub> [°C]	T <sub>temp</sub> [°C]	С <sub>С</sub> [wt%]	C <sub>Cr</sub> [wt%]	C <sub>N</sub> [wt%]	C <sub>v</sub> [wt%]	C⊺a [wt%]	C <sub>w</sub> [wt%]
1.	1050	780	0.046	8.9	0.03	0.36	0.5	0.5
2.	1050	770	0.046	8.9	0.03	0.43	0.5	0.5
3.	1050	760	0.046	8.9	0.03	0.43	0.5	0.5
4.	1050	750	0.046	8.9	0.03	0.43	0.5	0.5
5.	1050	740	0.046	8.9	0.03	0.43	0.5	0.5

Table 4: Compositions with varying tempering temperature which have maximum MX precipitation at
$T_{temp}$ after normalization at 1050 °C.

6.	1050	730	0.046	8.9	0.03	0.43	0.5	0.5
7.	1050	720	0.046	8.5	0.03	0.5	0.5	0.5
8.	1050	710	0.046	8.5	0.03	0.5	0.5	0.5
9.	1050	700	0.046	8.5	0.03	0.5	0.5	0.5
10.	1050	690	0.046	8.5	0.03	0.5	0.5	0.5
11.	1050	680	0.046	8.5	0.03	0.5	0.5	0.5
12.	1050	650	0.046	8.7	0.07	0.22	0.36	0.9

Table 4 shows that, with increasing V, the tempering temperature suggested as optimal by the algorithm decreases, except for the composition 0.046 wt% C, 8.7 wt% Cr, 0.07 wt% N, 0.22 wt% V, 0.36 wt% Ta and 0.9 wt% W. This is the only composition (Table 4 nr. 12) that differs from the others in  $C_N$ ,  $C_{Ta}$  and  $C_W$ . It is thus an interesting alloy, even though it does not render the maximum theoretically obtainable of MX precipitation at  $T_{temp}$ , as can be seen in Fig. 3. The composition nr. 12, tempered at 650 °C, will render the same volume fraction of VN at tempering temperature as the other listed compositions, but the volume fraction of TaC at  $T_{temp}$  will be significantly less. The composition nr. 12 gives in fact the lowest volume fraction of TaC at  $T_{norm}$  and is therefore the other extreme to our optimal composition (Table 4 nr. 1) where we looked for the composition with a minimum volume fraction of VN at  $T_{norm}$ .

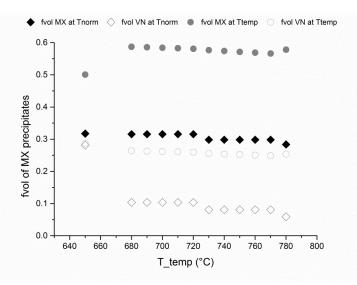


Fig. 3: Volume fraction of total MX precipitates and VN precipitates at normalization and tempering temperature with  $T_{norm} = 1050$  °C.

#### **3.2. Experimental heat**

The compositions of the alloy nr. 12 suggested by the model with heat treatment of  $T_{norm} = 1050$  °C and  $T_{temp} = 650$  °C, the experimental heat 0.05C\_0.037N and EU97-2 are compared in Table 5.

T [°C] / X [wt%]	T <sub>norm</sub>	T <sub>temp</sub>	С	Mn	Si	Cr	W	Ν	В	V	Та	Fe
EU97-2	980	750	0.11	0.53	0.050	8.8	1.1	0.040	-	0.20	0.12	Bal.
0.05C_0.037N	1050	750	0.049	0.44	0.040	8.8	0.98	0.037	0.0065	0.28	0.19	Bal.
Alloy nr. 12	1050	650	0.046	0.40	0.030	8.7	0.90	0.070	-	0.22	0.36	Bal.

Table 5: Compositions of EU97-2, 0.05C\_0.037N and simulated alloy nr. 12 (Table 4 nr. 12).

The quench and tempering (Q&T) treatment of the experimental alloy 0.05C\_0.037N was treated at a similar  $T_{norm}$  as the simulated  $T_{norm} = 1050$  °C of alloy nr. 12, although the  $T_{temp}$  was higher ( $T_{temp} = 750$  °C instead of 650 °C, see Table 5).

The optical micrograph of 0.05C\_0.037N after Q&T treatment is given in Fig. 4. The optical micrograph of EU97-2 is added for comparison.



Fig. 4: Optical micrograph of 0.05C\_0.037N grade (left), etched with Vilella's etchant and LOM image of EU97-2 [2] (right), for comparison.

OIM analysis of EBSD data was used to quantify the block size and PAGS of the 0.05C\_0.037N grade. Fig. 5 shows the image quality (IQ) map of 0.05C\_0.37N, in which the high angle grain boundaries (HAGB) are indicated by black lines. The martensitic blocks were determined as grains confined by HAGB, having a misorientation above or equal to 15°. With this boundary condition, the equivalent block diameter was determined as 7.0 ± 1.0 µm. A linear intercept method was used on the grain boundaries, with a misorientation between 21.1° and 47.1° to determine the PAGS, as described by Bernier [25]. The average size of the PAG is  $12.0 \pm 1.1$  µm. For comparison, the average martensitic grain size (GS) of EU97-2 is 10 µm [2] after Q&T treatment with  $T_{norm} = 980$  °C and  $T_{temp} = 760$  °C.

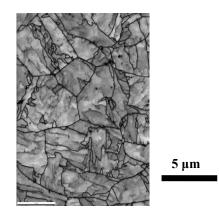
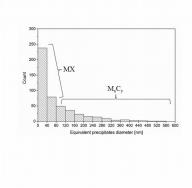


Fig. 5: Image quality (IQ) map of 0.05C\_0.037N grade with high angle grain boundaries (HAGB) indicated with black lines.

The combined techniques ICPMS, STEM and EDS were used to determine the size distribution of the precipitates (Fig. 6). The average equivalent diameter of MX carbonitrides is  $28 \pm 1$  nm and the average equivalent diameter of  $M_{23}C_6$  carbides is  $172 \pm 9$  nm. ICPMS data from the 0.05C\_0.037N grade showed that the alloying elements, V, Ta, W and Cr remained mostly in solid solution after Q&T. Only, 39 % and 49 % of the V and Ta bulk concentration precipitated, respectively, and roughly 5 % of either W and Cr content.



(a)

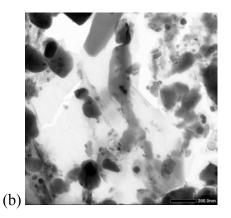


Fig. 6: (a) Size distribution of MX and M<sub>23</sub>C<sub>6</sub> precipitates found in 0.05C\_0.037N and (b) STEM image of 0.05C\_0.037N.

The hardness, tensile and impact properties of the 0.05C\_0.037N grade in comparison with EU97-2 [2] are given in Table 6 and show that the experimental alloy has equivalent basic mechanical properties to the reference steel EU97-2.

Steel grade	DBTT [°C]	USE [J]	HV [HV5]	YS [MPa]	UTS [MPa]
EU97-2	-40	270	219	543	683
0.05C_0.037N	$-51 \pm 3$	$197\pm3$	$223\pm1$	593 ± 2	694 ± 2

Table 6: Tensile and impact data for	0.05C_0.037N grade in comparison with EU97-2.
rable of renshe and impact data for	0.05C_0.0071 grade in comparison with EC77 2.

Creep experiments were performed on 0.05C\_0.037N at 650 °C with a stress of 100 MPa. Within 3750 hr. no rupture occurred. In contrast, the time to rupture for plates of EU97-2 tested at 650 °C with a stress of 100 MPa is less than 500 hr. [2] (Fig. 7).

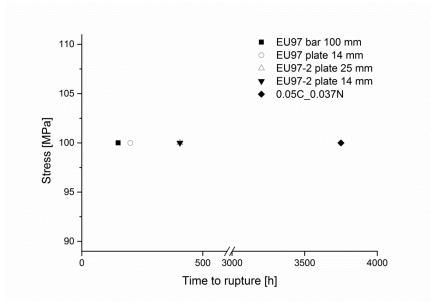


Fig. 7: Time to rupture of EU97 round bar, EU97 plate of 14 mm, EU97-2 plate of 25 mm, EU97-2 plate of 14 mm (data from [2]) and experimental grade 0.05C\_0.037N for creep tests at 650 °C and 100 MPa.

#### 4. Discussion

The reduced activation ferritic/martensitic (RAFM) steels obtain their functional properties by a two-stage heat treatment, which consist of an annealing stage followed by quenching and an additional tempering stage. This thermal treatment creates a microstructure of tempered martensite with precipitates. EU97-2, in particular, comprises of two main types of precipitates, i.e., the MX carbonitrides and  $M_{23}C_6$  carbides. The carbonitrides are stable precipitates with a typical size between 20-60 nm. The  $M_{23}C_6$  carbides, on the other hand, whose average size is between 80-200 nm [20], tend to coarsen quickly when the material is exposed to elevated temperatures, thereby reducing the creep strength. To improve the creep strength of the steel, it is beneficial to decrease as much as possible the fraction of  $M_{23}C_6$  and maximize the fraction of MX. However, it is also important to maintain a small martensitic grain size by controlling the prior austenite grain growth and guaranteeing a fully martensitic structure without ferrite.

By using the thermodynamic database coupled to a search algorithm described in the previous sections, a selection of compositions were filtered out based on their expected microstructure and hence their mechanical properties after Q&T treatment. The simulated  $T_{norm}$  is chosen as the highest possible temperature, taking into account the need to limit the austenite grain growth and maximizing dissolution of MX particles at  $T_{norm}$ . The optimization in terms of  $T_{temp}$  shows that there are two pathways to obtain a local optimum in terms of martensitic structure with fine MX precipitates after tempering (Table 3). The first pathway, at  $T_{temp} = 780$  °C, leads to the composition having a minimum volume fraction of VN at  $T_{norm}$ . This is in fact due to the last added constraint "VN min" and resulted in the optimized composition alloy nr. 1

(Table 4 alloy nr. 1). The reason for this choice was discussed earlier (section 3.1 Thermodynamic model). However, the other pathway, at  $T_{temp} = 650$  °C, leads to the composition with a minimum TaC fraction at  $T_{norm}$  (it should be noted that the TaC fraction is not zero at  $T_{norm}$  and, therefore, the TaC particles could still pin the austenite boundaries at  $T_{norm}$ ). This composition is denoted as alloy nr. 12 (Table 4 alloy nr. 12).

Thermodynamic equilibrium calculations of MX and M<sub>23</sub>C<sub>6</sub>  $f_{vol}$  in EU97-2, 0.05C\_0.037N grade, model alloy nr. 1 and model alloy nr. 12 at experimental or simulated  $T_{norm}$  and  $T_{temp}$ , and at the expected in-service temperature ( $T_{service}$ ) of 650 °C, are given in Table 7. The thermodynamic data show that, for both alloys suggested by the search algorithm, a larger fraction of MX carbonitrides and a lower fraction of M<sub>23</sub>C<sub>6</sub> carbides will precipitate at  $T_{temp}$  and  $T_{service}$  in comparison with EU97-2. Ideally, one should look for this tendency in an experimental grade. Table 5 shows that the compositions of 0.05C\_0.037N and the model alloy nr. 12 are quite similar. However, 0.05C\_0.037N has a lower Ta and N content, leading to a lower fraction of MX at  $T_{temp}$ , than the alloy nr. 12 (Table 7). Nevertheless, an improved creep resistance is expected for the experimental grade in comparison with EU97-2, based on the fine-tuning of  $C_C$ ,  $C_V$ ,  $C_{Ta}$  and  $C_N$  by the search algorithm, i.e., the higher concentration of V and Ta and lower concentration of C in the 0.05C\_0.037N grade with respect to the composition of EU97-2.

After normalizing	EU97-2	0.05C_0.037 N	Alloy nr. 1	Alloy nr. 12
[°C]	980 °C	1050 °C	1050 °C	1050 °C
$f_{vol}$ prec.	0.20%	0.16%	0.28%	0.32%
MX	0.20%	0.16%	0.28%	0.32%
TaC	22%	26%	79%	11%
VN	78%	74%	21%	89%
$M_{23}C_{6}$	0.0%	0.0%	0.0%	0.0%
After tempering	EU97-2	0.05C_0.037 N	Alloy nr.1	Alloy nr. 12
[°C]	760 °C	750 °C	780 °C	650 °C
$f_{vol}$ prec.	2.3%	1.0%	0.57%	0.73%
MX	0.32%	0.37%	0.55%	0.47%
TaC	17%	29%	57%	39%
VN	83%	71%	43%	61%
$M_{23}C_{6}$	2.0%	0.63%	0.020%	0.27%
In-service	EU97-2	0.05C_0.037 N	Alloy nr.1	Alloy nr. 12
[°C]	650 °C	650 °C	650 °C	650 °C
$f_{vol}$ prec.	2.36%	1.1%	0.67%	0.73%
MX	0.32%	0.37%	0.53%	0.47%
TaC	18%	30%	57%	39%

Table 7: Thermodynamic equilibrium calculations of MX and  $M_{23}C_6 f_{vol}$  in EU97-2, 0.05C\_0.037N grade, model alloy nr. 1 and model alloy nr. 12 at experimental or simulated  $T_{norm}$  and  $T_{temp}$  and at in-service temperature of 650 °C.

VN	82%	70%	43%	61%
M <sub>23</sub> C <sub>6</sub>	2.0%	0.69%	0.14%	0.27%

The 0.05C\_0.037N grade, has been tested and characterized in order to verify that, as predicted by the model, such a composition actually provides an improved creep behaviour, as well as an overall satisfactory mechanical behaviour. Based on the LOM images, the microstructures of 0.05C\_0.37N and EU97-2 appear similar and fully martensitic (Fig. 4). The performed heat treatment of 0.05C\_0.037N can be compared with the Q&T that was given to EU97-2, as the  $T_{norm}$  was in both cases chosen as  $A_{c3} + 100$  °C. The resulting martensitic structure of 0.05C\_0.037N (GS = 7 µm) is slightly smaller than the microstructure of EU97-2 (GS = 10 µm), which leads to a lower (and therefor better) DBTT value with respect to EU97-2 (Table 5).

The impact data show that the upper shelf energy (USE) of 0.05C 0.037N is significantly lower than that of EU97-2. In contrast, the yield strength of the 0.05C 0.037N grade,  $593 \pm 2$ MPa, is considerably higher than EU97-2 with a YS of 543 MPa. The ultimate tensile strength is also slightly higher. ICPMS data of 0.05C 0.037N showed that less Ta precipitated after the Q&T treatment, which is in agreement with Table 6, showing that the MX particles consist of 29 % TaC and 71 % VN. Comparison of ICPMS data for 0.05C 0.0.37N and for an EUROFER grade, showed that more W and C concentration will be precipitated after Q&T treatment in EUROFER, with 13 % and 10 % of the bulk value, respectively. Larger and more  $M_{23}C_6$  carbides are therefore expected to be found in EU97-2 than in the 0.05C 0.037N grade or in either alloys suggested by the algorithm (Table 7). The larger  $M_{23}C_6$  carbides exhibit less pinning effect on the grain boundaries and higher probability of creep void nucleation [26]. This is in agreement with the findings by J. Chen [23], showing a decrease in size and volume fraction of M<sub>23</sub>C<sub>6</sub>, with a reduction in carbon content of RAFM steels, confirming the equilibrium calculations in Table 7. Additionally, the solid solution strengthening of the 0.05C 0.037N grade will be higher. Literature also suggest that a higher solid solution strengthening caused by W and N is beneficial for creep resistance [27]. Overall, it appears that the mechanical properties of the 0.05C 0.037N grade are better compared to EU97-2. Moreover, creep test performed to determine the high temperature long term behaviour of the material showed that the 0.05C 0.037N indeed has better creep resistance compared to EU97 and EU97-2 (Fig. 7).

The experimental work therefor confirms that the search algorithm used to manipulate the thermodynamic database does suggest compositions and heat treatments with better mechanical properties. Based on the chosen constraints, the algorithm selects a microstructure with fine, homogeneously distributed MX carbonitrides after tempering that contribute to the strength. Further increase in MX fraction and reduction in  $M_{23}C_6$  as indicated by the algorithm (Table 7) should further increase the strength of the alloy compared to EU97-2. Future considerations include the study of the effect of tempering temperature and tempering time on the size and spatial distribution of the precipitates in the experimental alloy 0.05C\_0.037N and the production and study of the model alloys nr. 1 & 12.

#### 5. Conclusions

This work proposes a new way of exploiting thermodynamic modelling in steel design. A search algorithm has been developed to select a combination of composition and heat treatments that leads to improved creep resistance with respect to the reference material EU97-2. This was validated by experimental work. The outlook includes the incorporation of kinetics in the database for better predictions of the normalization and tempering temperature and time, and the production of alloys according to the indications of the search algorithm. The search algorithm might also be further improved by using machine learning techniques.

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