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### Deep learning for plasma tomography using the bolometer system at JET

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#### Abstract

Deep learning is having a profound impact in many fields, especially those that involve some form of image processing. In such applications, deep neural networks excel in turning an input image into a set of high-level features. Tomography deals with the inverse problem of recreating an image from a number of projections. In plasma diagnostics, tomography aims at reconstructing the cross-section of the plasma inside a fusion device. Although such reconstruction can be done with neural networks, previous attempts have focused on learning a parametric model of the plasma profile. In contrast, in this work we use a deep neural network to produce a full, pixel-by-pixel reconstruction of the plasma profile. For this purpose, we use the overview bolometer system at JET, and we introduce an up-convolutional network that has been trained and tested on a large set of sample tomograms. We show that this network is able to reproduce existing reconstructions with a high level of accuracy, as measured by several metrics.

Keywords: Plasma Diagnostics, Computed Tomography, Neural Networks, Deep Learning

#### 1. Introduction

Tomography [1, 2] is a plasma diagnostics technique which measures the radiated power of a magnetically confined plasma. By measuring such radiation along multiple lines of sight, it is possible to determine the plasma profile on a poloidal crosssection of the fusion device. This 2D plasma profile is obtained through a reconstruction process based on 1D measurements of radiation detectors such as X-ray cameras and bolometer systems [3]. This reconstruction process is often referred in the literature as tomographic inversion [4].

There are several methods for tomographic inversion, including the maximum entropy method, the Tikonov regularization method, and the minimum Fisher information method, among others [5, 6]. On the other hand, there have been several attempts to produce tomographic reconstructions with neural networks [7, 8, 9]. Once trained, a neural network can approximate the results of tomographic inversion with satisfactory accuracy, while being faster than the classical methods. This becomes especially useful when there are large amounts of tomographic data to be processed after a pulse or, more importantly, to enable the use of such reconstructions as input to the realtime control of the fusion experiment [10].

All of these experiments with neural networks have been performed before the advent of deep learning [11, 12]. In recent years, the field of neural networks has seen tremendous progress in several fronts, namely:

- the development of deep network architectures based on convolutional neural networks (CNNs) [13, 14] and recurrent neural networks (RNNs) [15];
- the use of new activation functions, especially the rectified linear unit (ReLU) [16] and its variants [17, 18, 19];
- new procedures for parameter initialization [20, 18];
- improvements in training algorithms [21, 22, 23];
- new methods to avoid overfitting, especially dropout [24] and batch normalization [25];
- the use of GPU computing (graphics processing units) to significantly accelerate network training [26, 27];
- and the development of new software libraries, such as Caffe [28], Theano [29] and Torch7 [30], which made deep learning accessible to a wide range of applications.

These developments have led us to rethink the way neural networks can be used in plasma tomography. In particular, whereas previous attempts have focused on learning the parameters of a parametric model of the plasma profile [31], here we aim at a full, pixel-by-pixel reconstruction of the 2D plasma profile with the same resolution as existing tomograms. This is an interesting problem for deep learning, because it involves producing a 2D image from 1D projection data, while convolutional neural networks (CNNs) typically excel in doing the opposite, e.g. turning a 2D image into a 1D vector of class probabilities that can be used for image classification.

In this work, we present an up-convolutional network to perform the reconstruction of the 2D plasma profile based on the overview bolometer system at JET, known as KB5 [32]. The network is trained on existing tomograms, and is able to reproduce previously unseen reconstructions with high accuracy, to

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<sup>&</sup>lt;sup>1</sup>See the Appendix of F. Romanelli et al., Proceedings of the 25th IAEA Fusion Energy Conference 2014, Saint Petersburg, Russia

the point that they are virtually indistinguishable from the original. To evaluate the quality of the results, we turn to several metrics that are commonly used for image comparison.

The paper is organized as follows: Section 2 describes the KB5 bolometer system and the data that has been collected from that diagnostic across a number of JET campaigns. Section 3 discusses data preprocessing, and presents the architecture of the up-convolutional network that has been devised for plasma tomography. Section 4 describes how the network was trained and tested, and discusses how the results have been evaluated using set of metrics. Finally, Section 5 concludes the paper with a view towards the application of a similar approaches to other tomography diagnostics in JET and elsewhere.

#### 2. Tomography at JET

The use of tomography diagnostics at JET dates back to the mid-1980s when the first bolometer system, named KB1, was installed [33]. Originally, it included one vertical camera with 14 bolometers and two horizontal cameras, with 10 bolometers each, directed at the upper half and lower half of the vessel. With this early system it was possible to obtain the first radiation profiles and study phenomena such as asymmetries and the formation of plasma mantles before a disruption.

This was followed by development of the KB3 and KB4 diagnostics in the mid-1990s. The KB3 bolometer system is mainly directed towards the divertor region at the bottom of the vessel. It has been the subject of several improvements over the years [34], and it is still in use today. Currently, KB3 includes 7 cameras in the divertor region, each with 4 lines of sight. The KB4 diagnostic uses the same type of cameras, but it provides an overview of the entire plasma region. Originally, KB4 had a total of 14 cameras, but nowadays only 6 of them are operational, with only a few lines of sight. This was due to the harsh conditions in which the bolometers were operating inside the vessel, so eventually KB4 needed to be replaced.

In the late 1990s and early 2000s, a new bolometer system, named KB5, was developed [35, 32, 36]. The KB5 diagnostic comprises one horizontal camera (KB5H) and one vertical camera (KB5V) with 24 bolometers each. The lines of sight for each of these cameras are arranged in such a way that 16 channels cover the whole plasma, and 8 channels are directed towards the divertor region, as illustrated in Figure 1. This means that KB5 provides an overview of the plasma region, and also a more detailed view of the divertor region.

Figure 2 shows a sample reconstruction of the plasma profile from the readings of KB5H and KB5V. Many such reconstructions have been computed over the years, with a tomographic method based on a series expansion of the plasma profile with a set of basis functions [37, 38]. However, in general, only a few reconstructions are available per pulse. Therefore, to obtain a large number of reconstructions for training the network, it was necessary to gather data from many pulses.

In this work, we collected data for all JET campaigns since the installation of the ITER-like wall in September 2011 [39]. Table 1 shows the number of available reconstructions by cam-

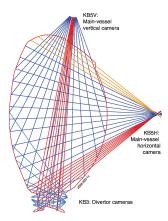


Figure 1: KB5 cameras (EUROfusion figures database JG06.330-1c)

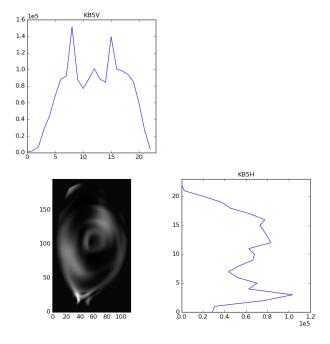


Figure 2: KB5H/V readings and tomographic reconstruction for JET pulse no. 81850 at  $t\!=\!51.35$  s.

paign. In total, we gathered about 18 000 sample reconstructions. However, there are significant differences between campaigns, with changes in operating conditions, diagnostics equipments, systems calibration, and even changes to the fuel itself (e.g. C34 was a hydrogen campaign).

Therefore, we divided the data into the following subsets:

- C29 with 3238 reconstructions;
- C30b + C30c with 2845 reconstructions;
- C31 + C32 with 4162 reconstructions;
- C33 with 4044 reconstructions.

The idea was to merge campaigns only if they were very close in terms of dates, while at the same time trying to come up with datasets of roughly the same size. The network has been trained and tested separately on each of these datasets.

	Start	Finish	First	Last	
Campaign	date	date	pulse	pulse	Reconstructions
C28a	2011-09-01	2011-09-20	80176	80372	41
C28b	2011-10-10	2011-10-28	80653	80976	375
C28c	2011-11-25	2011-12-21	81264	81643	282
C29	2012-01-10	2012-04-10	81726	82905	3238
C30b	2012-05-15	2012-07-14	82944	83620	2255
C30c	2012-07-16	2012-07-27	83621	83794	590
C31	2013-07-17	2013-09-27	84442	85355	3071
C32	2013-09-30	2013-10-04	85356	85457	1091
C32a	2014-01-08	2014-01-10	85900	85978	11
C33	2014-06-19	2014-09-05	86452	87583	4044
C34	2014-09-10	2014-10-09	87584	87958	2134
C35	2015-11-09	2015-12-18	88941	89472	633

Table 1: JET campaigns, dates, pulses and available reconstructions

#### 3. The up-convolutional network

The KB5 system is able to collect readings at a sampling rate of 5 kHz. Each reading includes the 24 channels of KB5H, the 24 channels of KB5V, and up to 8 additional channels that are used as reserve [35]. In the data that we collected for this work, each reading had always 52 channels (24 + 24 + 4 reserve). Two of these channels were always zero, namely the last reserve channel and the first channel of KB5V. On the other hand, two channels of KB5V were known to be malfunctioning, and one of these was precisely the first channel. In any case, we did not discard any channels immediately. Instead, we applied Principal Component Analysis (PCA) [40] to determine how many channels were actually useful.

In deep learning, it is common practice to use some form of PCA to normalize the input data [41, 42]. Usually, this is done mainly to reduce the dimensionality of the input. Here, we applied PCA to determine the number of channels that should be kept, and also to de-correlate the input data make it easier for the network to learn. (It is interesting to note that PCA has been used in [9] for exactly the same purpose.)

By applying PCA decomposition to the input data coming from KB5, we found that 100% of the variance could be explained with 50 components (rather than 52) so we transformed the input data and kept only those 50 components. The input to the network was therefore a 1D vector of length 50.

As for the output data, the available reconstructions had a resolution of  $115 \times 196$  pixels (as in Figure 2). Our goal was to train the neural network on these pixel data so that it would produce images with the same resolution. However, to facilitate the design of the network architecture, we padded the images with zeros up to a resolution of  $120 \times 200$ . This padding has no effect in the results, since the bordering pixels in the available reconstructions were already zero, and the network will learn that those extra outputs are always zero as well.

The challenge was therefore to devise a network architecture that receives a 1D input of length 50, and produces a 2D output of size  $120 \times 200$ . This is in contrast with the architecture of most convolutional neural networks (CNNs), which typically receive a 2D image as input and produce a 1D vector of features or class probabilities as output. What we need here is the inverse of a CNN, a kind of de-convolutional network that receives a 1D vector and produces a 2D image.

The typical architecture of a CNN contains a series of convolution + rectifier + pooling layers that progressively reduce the size of the input image, while transforming it into a set of feature maps [13, 14]. These convolutional layers are then followed by a couple of fully-connected layers before the network output. Our idea was to turn this architecture around, by having the fully-connected layers at the beginning, and then a series of layers to perform de-convolution and unpooling to increase the image size up to the desired resolution.

The problem is that convolution, rectifiers, and pooling are non-reversible operations. The inverse of these operations requires additional information, for example in the form of switch variables [43, 44]. Here we do not have such information, so we followed the approach of [45] which consists in performing an up-convolution, i.e. an upsampling followed by convolutions and rectifiers. A series of such up-convolutional layers can process the image and bring it up to the desired resolution.

Figure 3 shows the architecture of the up-convolutional network that we devised for this work. The input layer is followed by two fully-connected (FC) layers with 7500 nodes, which are then reshaped into 20 features maps of size  $15 \times 25$ . The first up-

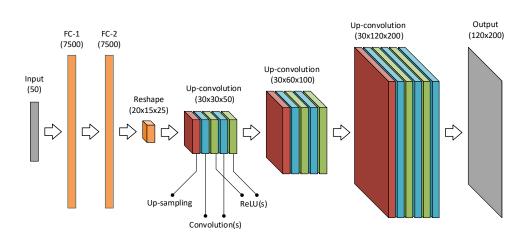


Figure 3: Architecture of the up-convolutional network

convolutional layer enlarges these feature maps to  $30 \times 50$  and also increases their number to 30 through two convolutions and rectifiers. The second and third up-convolutional layers have a similar structure, and also work with 30 feature maps. These feature maps are successively enlarged until a final convolution merges them into a single image of  $120 \times 200$ .

The size of the FC layers, the number of feature maps, and the number of convolutions have all been the subject of extensive experiments. However, we observed no significant improvements in the results by increasing them further. We also conducted a preliminary experiment where we trained the network with randomly-generated phantoms for which the projections could be calculated analytically. From those projections, the network was able to reproduce the phantoms with an average pixel error below 2%.

#### 4. Training and results

To train and test the network, we partitioned each of the four datasets (C29, C30b + C30c, C31 + C32, and C33) into 80% for training, and 20% for validation and testing, where the validation set was used to monitor the training progress. Four separate training processes were carried out, one for each dataset, followed by testing on the corresponding test set.

The network was trained using stochastic gradient descent (SGD) [46] with a relatively low learning rate of 0.001, which yielded better results in the long run than more sophisticated algorithms such as Adagrad [21] and Adadelta [22]. The network weights were initialized with a Glorot-uniform distribution [20], and we used a batch size of 10 while training the network on the GPU (Nvidia Titan X). As loss function, we used the mean absolute error (MAE) between the original reconstructions and the images produced by the network.

While training on each dataset, we observed that the validation loss displayed an overall tendency to decrease with the training loss, as shown in Figure 4. We have not observed any occurrence of overfitting, as the validation loss kept decreasing asymptotically. After 2000 epochs, the improvement in validation loss was negligible, so we stopped training at that point.

We then turned to the test sets to evaluate the results on previously unseen data. Figure 5 shows some of the sample reconstructions obtained on the test sets. In each pair of images, the original tomogram is shown on the left, and the reconstruction produced by the network is shown on the right. The examples in Figure 5 indicate that the network is able to reproduce the original tomograms with high accuracy, not only with regard to

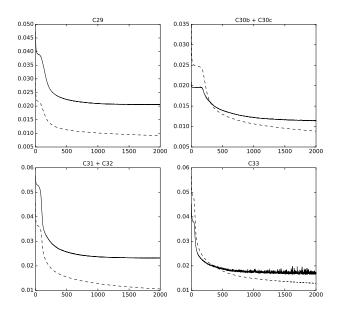


Figure 4: Training loss (dash) and validation loss (solid line)

the inner shape of the plasma, but also with respect to the details of the divertor region.

To make a more objective and systematic assessment of the results, we turned to several metrics that are commonly used for image comparison, namely: structural similarity (SSIM) [47], which measures the perceived quality of an image with respect to another reference image; peak signal-to-noise ratio (PSNR) [48], which is frequently used to measure the quality of image compression; and normalized root mean square error (NRMSE), where the normalization factor was the Euclidean norm of the original image.

Table 2 shows the results that have been obtained for each of these metrics on each test set. From these results, we highlight the following:

- SSIM has a maximum value of 1.0 (only reached when the two images are equal). In two of the test sets, the average value is 0.99, which points to the high quality of the reconstructions produced by the network.
- PSNR is logarithmic and is measured in decibels (dB).
  For comparison, it is worth noting that a JPEG image has typically a PSNR of 30 to 50 dB when compared to its original, uncompressed version [49]. Here, we have values of PSNR that are in that range as well.

		SSIM			PSNR			NRMSE		
	mean	best	worst	mean	best	worst	mean	best	worst	
C29	0.978387	0.999324	0.901227	37.102214	49.553505	27.362232	0.033286	0.006915	0.089919	
C30b + C30c	0.968798	0.986527	0.925779	33.838852	36.898350	28.939540	0.044555	0.030393	0.076322	
C31 + C32	0.990349	0.998765	0.953863	36.222247	37.616395	29.413519	0.032600	0.027523	0.070956	
C33	0.990571	0.999572	0.958346	41.146799	47.095725	29.676929	0.021336	0.009152	0.069047	

Table 2: SSIM, PSNR, and NRMSE obtained on each test set

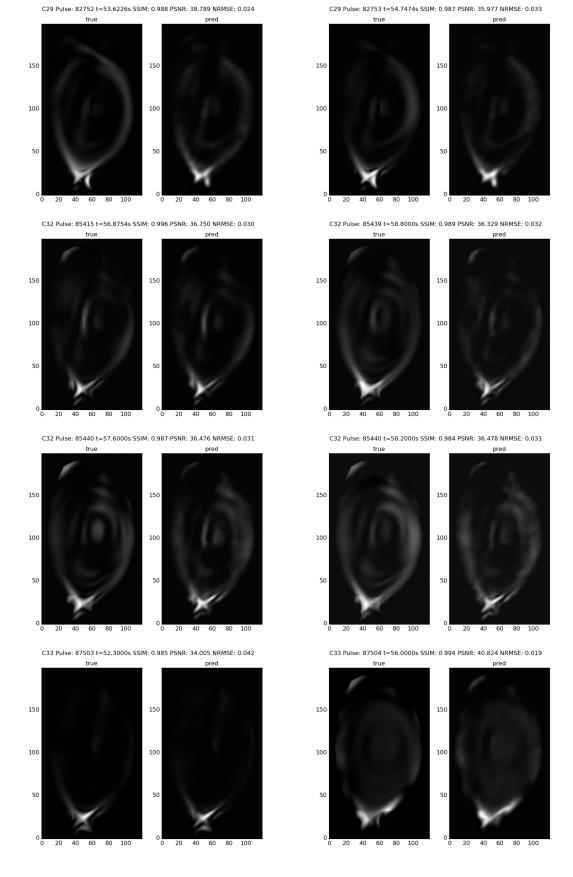


Figure 5: Sample reconstructions produced by the network, with original tomogram (left) and network output (right)

• NRMSE is a measure of error and therefore the lower it is, the better. In the test sets, its average value is in the range of 0.02 to 0.04. Intuitively, one could say that, on average, the reconstruction error for each pixel is around 2% to 4%.

At this point, it should be noted that all tomographic reconstructions can be affected to some extent by artifacts [4] which are due to noise in the projection data or to the smoothing constraints that are applied during the reconstruction process. A network that is able to reproduce existing tomograms with a very low error, as indicated by the metrics above, will necessarily learn to reproduce those artifacts as well. This problem, however, is beyond the scope of this work. Our goal here was to devise and train a network to reproduce, as faithfully as possible, the results of the reconstruction process.

#### 5. Conclusion

Deep learning is a promising approach to carry out the computationally intensive task of generating a tomographic reconstruction for each reading of the KB5 diagnostic. Once trained on a set of sample tomograms, a deep neural network is capable of producing reconstructions with the same pixel resolution and with a very low error margin. This makes it possible to envision a tomography diagnostic where a trained network would be able to provide the tomographic reconstruction within the temporal constraints of real-time control systems.

The work described here is of interest not only for bolometer systems such as KB5, but also for other diagnostics based on soft X-ray cameras [50] and neutron cameras [51], which have been used for plasma tomography as well. In principle, it should be possible to adapt the up-convolutional network to different diagnostics, depending on the size of the input data and the desired resolution for the output image.

Besides the diagnostics available at JET, a similar approach could be used in other fusion devices. For example, the tomography diagnostic in Wendelstein 7-X has as much as 20 X-ray cameras with a total of 380 lines of sight [52]. A deep learning approach such as the one proposed here could bring significant savings in the time required to compute the reconstruction of the plasma profile from that amount of data.

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