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DIAMOND WINDOW TECHNOLOGY FOR EC HEATING AND CURRENT DRIVE - STATE OF THE ART

G. Aiello^a, T. Scherer^a, K. Avramidis^b, N. Casal^c, T. Franke^{d,e}, M. Gagliardi^f, G. Ganbeinbein^b, M. Henderson^c, J. Jelonnek^b, A. Meier^a, G. Saibene^f, S. Schreck^a, D. Strauss^a, M. Thumm^b, M.Q. Tran^g, C. Wild^h, E. Woerner^h

^aKIT IAM, ^bKIT IHM, Hermann-von-Helmholtz-Platz 1, Eggenstein-Leopoldshafen, Germany, 76344, gaetano.aiello@kit.edu

^cITER Organization, Route de Vinon sur Verdon, St Paul Lez Durance, France, 13067

^dEUROfusion Consortium, Boltzmannstrasse 2, Garching, Germany, 85748

^eMax-Planck-Institut für Plasmaphysik, Boltzmannstrasse 2, Garching, Germany, 85748

⁸Swiss Plasma Center (SPC), EPFL, Lausanne, Switzerland, 1015

^hDiamond Materials GmbH, Hans-Bunte-Strasse 19, Freiburg, Germany, 79108

Nuclear fusion power plants require Electron Cyclotron Heating and Current Drive (EC H&CD) systems for plasma heating and stabilization. High power microwave beams between 1 and 2 MW generated by gyrotrons propagate in a dedicated waveguide transmission system to reach the plasma at specific locations. Key components in this transmission system are the chemical vapor deposition (CVD) diamond windows on both torus and gyrotrons side of the reactor as they allow transmission of high power beams while acting as confinement (tritium and other hazardous materials such as radioactive dust) and/or vacuum boundaries.

Diamond windows consist of a polycrystalline diamond disk integrated in a metallic housing. In the conventional configuration, there is one disk perpendicular to the beam propagation direction with a thickness matching the half beam wavelength in order to minimize the amount of reflected power from the disk. A steering mechanism is then used to deploy the beam at different locations in the plasma. This is for instance the configuration used in the ITER EC H&CD system.

Movable parts close to the plasma will be problematic for the lifetime of launchers in future fusion reactors like DEMO, due to the higher heat loads and neutron fluxes. Therefore, one of the alternative concepts is to deploy the beams directly at the desired resonant magnetic flux surface by frequency tuning gyrotrons. In this case, diamond windows able to work in a given frequency range are required, like the diamond Brewster window. It is an elegant and compact broadband window solution with the disk inclined at the Brewster angle with respect to the beam direction.

This paper shows the development of different window concepts, the design of those windows, the numerical analyses aiming to validate the design and the application of the codes. The current state of the different diamond window concepts is presented, and, as the qualification of windows cannot be completely covered by standard nuclear design and construction codes, the development of a specific qualification program including prototyping activities is also discussed.

I. Introduction and background

CVD diamond windows¹ are sub-components of EC H&CD systems used in tokamaks for a diverse range of applications including plasma heating and control of plasma magneto-hydrodynamic (MHD) instabilities such as the sawtooth and neoclassical tearing modes (NTMs). An EC system² consists of gyrotrons, which are the sources for the high power microwave beams, associated power supplies, transmission lines (TLs) and launchers injecting the beams into the plasma.

To meet the several requirements, the EC launchers shall be capable of depositing the EC power at specific positions inside the plasma. The conventional way consists in sending fixed frequency beams by moveable mirrors to deposit the EC power at defined magnetic flux surfaces in the plasma. This is used, for instance, in ITER for an operating frequency of 170 GHz and a radio frequency (RF) output power between 1 and 1.5 MW (Ref. 3). The diamond disks of the vacuum windows are perpendicular to the beam direction and in case of the ITER torus window they have a thickness of 1.11 mm corresponding to 3 times $\lambda/2$ (the half wavelength) of 170 GHz RF inside the diamond material. The corresponding gyrotron windows have a disk thickness of 1.85 mm corresponding to 5 times $\lambda/2$. Since both the torus window and the gyrotron window are integer multiples of half the wavelength inside the diamond, the window reflections are minimized. Another possibility is to deploy a beam at different frequencies in the plasma. That is the case for DEMO, as the higher heat load and neutron flux will make it difficult to mechanically move mirrors, which are directly facing the plasma. With respect to the conventional configuration, the EC power cannot be swept across the plasma cross section, but the RF

^fF4E Joint Undertaking, Josep Pla 2, Barcelona, Spain, 08019

beam is deployed at a fixed launching angle and frequency tuning allows a different radial EC power deposition.

In this case, broadband window solutions are required for steering the beam to different positions by changing the EC frequency. A specific solution is given by the conventional configuration of the disk associated to gyrotrons designed for multi-purpose (multi-frequency) operability. Multi-purpose gyrotrons produce beams at frequencies corresponding to wavelengths matching the thickness of the disk (e.g., 136/170/204/238 GHz for a window having the same disk thickness as for the ITER gyrotron window), one at each time. A more general broadband solution is the one associated to frequency steptunable gyrotrons able to switch the operating frequency within seconds and in steps of 2-3 GHz, allowing thus a faster and finer tuning of the location of the EC power deposition into the plasma. One possible solution is the CVD diamond disk Brewster-angle window⁴, for which the diamond disk is inclined at the Brewster angle of 67.2°. Assuming a linear beam for which the polarization direction (direction of the electric field) is in the plane of incidence, the RF power is transmitted for all possible frequencies without any reflection. In reality, assuming a non-ideal beam and beam polarization, there is always a small reflection depending on the RF beam mode. As a consequence, the thickness of the disk is also calculated taking into account a minimum reflection and the necessary structural stability.

Diamond windows consist of an ultra-low loss polycrystalline diamond disk brazed to copper cuffs and then integrated in a metallic housing. They are located both at the torus side of the fusion reactors as vacuum and confinement (in particular tritium) boundaries between TLs and torus vacuum and at the gyrotrons side as vacuum barrier between tube vacuum and TLs, while allowing the transmission of high power microwave beams. The most stringent requirements apply to the torus windows, considering their safety function. With respect to other materials, diamond is the only material which complies with the combined microwave, mechanical, thermal, vacuum and safety requirements. With particular reference to the EC ITER torus window and the Brewster window concept for DEMO, this paper shows the design of these windows, the numerical analyses of the windows aiming to optimize the design and to investigate different design solutions, the application of the nuclear standard codes, the experimental measurements of loss tangent $(tan \delta)$ in the diamond disk and the execution of experimental tests like the pressure test on a diamond window mock-up. The activities on going for the two types of diamond windows and the next steps towards feasible working windows are discussed together with the development of a specific qualification program including prototyping activities, since the windows cannot be completely covered by the standard codes like the brazing between disk and copper cuffs.

II. ITER torus diamond window

A global view of the EC system at ITER is shown in Fig. 1. There are one equatorial launcher (EL) and four upper launchers (ULs) injecting the RF beams into the plasma. For purely convention reasons, the TLs are named waveguides (WGs) from the diamond window location down to the plasma. For the ULs, on the gyrotrons side of the windows, there is the interface between the procurement boundaries of the European Fusion Domestic Agency (F4E) and the American Domestic Agency (USIPO). The design of the EC UL system from this interface down to the plasma is under F4E responsibility while up to the gyrotrons is under USIPO responsibility. In similar way, for the EL, there is the interface between the Japanese Fusion Domestic Agency (JAEA) and USIPO. JAEA is responsible for the design of the EC EL system from this interface down to the plasma. The EL has a total of 24 WGs at the entry, which are grouped into three sets of eight beams, while each UL has eight WGs entries grouped in two sets of four beams (a total of 32 WGs for the four ULs). There is one diamond window for each WG, resulting thus in a total of 56 torus windows for the EC system. The design of the window developed for the ULs by the support of F4E, shall be adopted also for the EL windows that are under JAEA responsibility.



Fig. 1. EC system (a) in ITER. A detailed view of the EC UL system is presented in (b).

In the following paragraphs, it will be shown how the window has achieved a sufficient mature design by numerical analyses and applications of codes and standards to start the prototyping and testing activity in view of the ITER final design review (FDR), scheduled at the end of 2019. Technical specifications are currently under preparation to start soon the call for tender for the manufacturing of three window prototypes. The diamond disk has already successfully passed its FDR at the end of 2017. The strategy to perform first the diamond disk FDR was mainly dictated by the need to facilitate the long procurement time for the 56 disks, each of which requires weeks to be manufactured as the growth process is slow (CVD growth rate of 0.1 to 10 μ m/h in the microwave plasma reactor).

II.A. Design of the window

The current design of the torus diamond window is shown in Fig. 2 with the nomenclature of the parts while Fig. 3 indicates the material of these parts. The design was mainly ruled by the need of:

- a rigid outer frame able to withstand the external loads,
- a waveguide system able to prevent the generation of parasitic electromagnetic (EM) oscillations in small cavities of the window,
- a cooling system with no direct contact between disk and coolant to remove the EC power absorbed in the disk.

A diamond disk with a thickness of 1.11 mm and diameter of 75 mm is brazed to two copper cuffs with embedded channels allowing the indirect cooling of the disk. This design choice makes the window safer as the coolant is separated from the disk forming the real barrier. The cuffs are then connected to two circular corrugated WGs of 50 mm inner diameter and made by copper-chromium-zirconium (CuCrZr) alloy ITER grade (IG) and inserted into the cuffs leaving a 100 µm gap with the disk.



Fig. 3. Materials in the current design of the window unit.

The cooling channels are closed by the so-called cooling-diagnostic ring and cooling ring made by CuCrZr too. Two CuCrZr outer shells connect then these rings to the WGs forming thus the stiff outer frame of the window. This outer frame acts as an additional confinement barrier and the real-time monitoring of all interspaces of the window is guaranteed by eight holes located both in the cooling-diagnostic ring and cooling ring. Finally, the WGs are inserted in the WG lines by the CuCrZr coupling flanges while the CuCrZr manifolds connect the window to the feeding water circuit. Four diagnostic pipes are located in the cooling-diagnostic ring to connect the window to different diagnostic systems aiming to detect any potential tritium and radioactive dust leakage, any arc generation during the transmission of the RF waves and also aiming to measure pressure and temperature in the system.

The location and the sequence of the joints in the window is shown in Fig. 4. The brazing is carried out only between the disk and the cuffs while all other parts



Fig. 2. Current design of the EC torus window unit.



Fig. 4. Proposed sequence of joints in the current design of the EC torus window unit.

are joined by electron beam (EB) welding except the joints between the pipes of the cooling-diagnostic/cooling rings and the pipes of the manifolds. For these joints, orbital tungsten inert gas (TIG) welding is foreseen. The total number of the joints is 19, in particular 7x2 symmetric joints with respect to the middle plane of the disk plus the joint #4 and the four orbital welds for the cooling connection. The current design of the window is the result of optimization work by numerical analyses and codes and standards and it shall be used in the call for tender for the manufacturing of the window prototypes.

II.B. Loss tangent measurements

The measurement of the loss tangent $(tan\delta)$ in the diamond disks aims to check their quality from the microwave transmission prospective. It is the principle test for disk acceptance in the qualification program (i.e. the program aiming to check the compliance with the applicable requirements) of the window unit. Very low tand values are required to assure the microwave transmission towards the plasma and consequently a low heating of the window. The tand is measured by open spherical and hemi-spherical Fabry-Perot resonators available in a dedicated measurement facility at KIT⁵. The spherical setup (Fig. 5a), consisting of two spherical mirrors and the disk placed at the center, allows high resolution measurements of tand at the center of the disk for the bare disk and the disk mounted in the window assembly. The hemispherical setup (Fig. 5b), consisting of a spherical mirror and a reflecting plane mirror, allows determining the distribution of the tan δ over the disk surface and it is possible only for the bare disk. The distribution is then parameterized in terms of the onset (D10), median (D50) and terminal (D90) distribution parameters. These indicate respectively the tan δ for 10%. 50% and 90% fraction of the inspected area, as it can be observed in Fig. 6.

In the development of the qualification program of the window unit, acceptance criteria were already defined for the mean D50 and D90 of the tan δ distribution at 170 GHz in the bare disks: 3.5×10^{-5} for the D50 and 6.0×10^{-5} for the D90. Diamond disks with the mean D50 and D90 lower than the defined limits shall be accepted for integration in the window assemblies. The tan δ measured at the center of the disk is usually used to calculate the EC power absorbed in the disk. This represents then the input to the thermal analysis of the window aiming to calculate the temperature distribution during normal operation. The absorbed power is calculated according to:

$$P_{abs} = P_{beam} \cdot \frac{f}{c} \cdot \pi \cdot (1 + \varepsilon_r) \cdot \tan \delta \cdot t$$

where P_{abs} is the absorbed power in W, P_{beam} is the beam power, f is the beam frequency, ε_r is the dielectric constant of diamond, tan δ is the loss tangent of diamond and t is the thickness of the disk. The measurements at the disk center at 170 GHz lead to tan δ values lower than 2×10^{-5} . Considering $P_{beam} = 1.31$ MW at the window location, f = 170 GHz, $\varepsilon_r = 5.67$, tan $\delta = 2 \times 10^{-5}$ and t = 1.11 mm, the EC power absorbed in the disk resulted in only 346 W.



Fig. 5. Spherical (a) and hemispherical (b) Fabry-Perot resonators for loss tangent measurements.



Fig. 6. Loss tangent distribution at 170 GHz for a bare CVD diamond disk manufactured for integration in the window prototype. The mean D50 and D90 meet the acceptance criteria.

II.C. Numerical analyses

The design of the window unit shall meet stringent requirements to guarantee the safety function, the millimeter-wave beam transmission and the structural integrity during normal operation and off-normal events specified in the ITER load specifications. Several FEM analyses were carried out to check the behavior of the window against the main design drivers and also to optimize the design itself. The analyses may be basically categorized in analyses for the external loads (mainly the severe SL-2 seismic event occurring during the vacuum vessel baking), for the internal loads (mainly the pressure loads acting on the disk) and for the thermo-structural loading during the beam transmission, considering also the off-normal event of hot spot⁶.

The hot spots consist in non-axially symmetric modes in the WGs that lead to an excess heating on one side of the WG wall versus the other side. Some analyses, like the ones for the internal loads, have to be considered only as supporting analyses to the experimental tests that actually qualify the window unit. In fact, the window unit is a very unique component as it contains a brittle material arranged in a metallic structure requiring a non-standard industrial joining technique for the integration (brazing between brittle material and ductile material). Once checked the manufacturing feasibility by the prototyping activity, a final iteration of analyses for the diamond window design shall be performed in view of the FDR scheduled in 2019.

Fig. 7 shows that the analyses⁷ for the design driver related to the external loads acting on the unit led to an advanced optimum design with resulting stresses well below the allowable limits and to the important conclusion that no stress propagation occurs towards the inner sensitive parts of the window, i.e. the disk and the cuffs. The thermal analysis of the window unit during normal operation was run by applying the absorbed power in the disk in accordance to the power pattern of the HE_{11} mode beam inside the WGs (Bessel function of order zero) and by applying the heat flux to the inner surface of the WGs. A heat flux of 4071 W m⁻² was applied to the CuCrZr WGs according to the 1.31 MW design beam. The heat exchange coefficient of 3168 W m⁻² K⁻¹ was applied to the cooling surfaces, consistent with the inlet mass flow rate of 0.0833 kg s⁻¹ (5 1/min) assumed for the complete window unit.

Fig. 8 shows the resulting temperature distribution in the unit. A quarter of the unit was used in the analysis for symmetry reasons. As expected, the maximum temperature is located at the center of the disk and it amounts to about 95 °C, well below the temperature limit for diamond. In fact, the limit for diamond is 250°C, as at greater temperatures the dielectric properties of diamond start degrading. The temperature difference between the center and the outer disk region is then about 35 °C only. In the metallic parts, the temperatures are low (safe limit of 80 °C for the WGs) and the distribution is quite homogeneous thanks to the high thermal conductivity of the CuCrZr alloy.

In the following structural analysis, the thermal stresses were assessed by linearizing them along proper stress classification lines and comparing the results to the $3S_m$ limit, being S_m the allowable stress intensity (123 MPa for CuCrZr in the range 20-100 °C). The resulting stresses in the metallic parts are significantly lower than the limits. In the disk, being a brittle material, the stresses were instead evaluated in terms of first principal stress. The maximum stress in the range 35-45 MPa is located in the brazing area, while out of this area, the first principal stress is lower than 22 MPa (conservative limit stress of 150 MPa is assumed for diamond).

Even going to the off-normal event of hot spot, the temperatures are still below the limits and very high stress safety margins were obtained. It can be concluded that the use of CuCrZr alloy provides great safety against the uncertainties associated to the thermal loading in case of hot spot.



Fig. 7. Evolution of the window unit from initial design (a) towards the compact and optimum design (b) obtained by running dedicated FEM analyses.



Fig. 8. Temperature distribution in the window unit during normal operation.

In addition, a sensitivity analysis for the cooling of the disk showed that the unit has a very stable thermal performance with regards to variations of the inlet mass flow rate. In fact, decreasing the flow rate from 20 down to 5 l/min, an increase of only 4 °C can be noted in the temperature profiles of the disk.

As already mentioned, some analyses are carried out only as supporting computations for the tests in which window mock-ups, prototype windows and the window units shall be subjected to in the context of the qualification program. For instance, this is the case of the pressure test⁸ at 2 bar difference across the disk carried out with a window mock-up consisting of the disk brazed to the cupper cuffs. Fig. 9 shows the supporting analysis for this test. A 2D analysis was run by applying the 2 bar load on the disk and the cuff and the resulting maximum principal stress turned to be in order of 60 MPa at the critical locations. The 2 bar event is the worst pressure event (extreme unlikely event) in the vessel corresponding to a multiple in-vessel pipe failure and it is a required event (ITER safety) to be considered for the window design. The test was successfully carried out and it contributed to the successful FDR of the 1.11 mm disk.



Fig. 9. Supporting analysis for the 2 bar pressure test on the window mock-up formed by disk and cuffs.

II.D. Codes and standards

The design of the window unit optimized by FEM analyses (Fig. 7b), was further improved⁹ considering the requirements given by the applicable ASME Section III – Subsection NC code. In fact, this code shall be applied to the design, manufacturing, assembling and qualification of the unit. In particular, the requirements stating that the welded joints in the unit shall have complete joint penetration and full fusion to occur between the pieces (NC-4262a) and also they shall be fully radiographed (NC-5252) were considered. For instance, as it can be observed in Fig. 10, the steps at the location of the joints, originally inserted to help the joining of the several parts, were removed. This allows a complete joint penetration like for instance at the welds between the WGs and the outer shells.



Fig. 10. Evolution of the window unit from the design optimized by FEM analyses (a) towards the design optimized by ASME III-NC (b).

II.E. Qualification program

The diamond window unit is actually covered by the applicable ASME III-NC, but some features like the diamond-copper brazing are out of the standard codes. Therefore, a dedicated qualification program of the unit has to be defined and it is under development. The program includes testing and prototyping activities and it aims to help defining also the acceptance criteria for the various tests. This path represents the base for the writing of the final qualification program that shall be used for the series production window units. Functional, design, safety, operational, quality requirements (collected in the socalled component requirements document) and requirements related to the loading conditions (collected in the so-called component load specification) are being defined for the unit. Each unit in series production shall be considered as qualified when all the applicable requirements shall be met through the ASME code and the final qualification program.

The program defines tests that shall be carried out for the bare disk, the disk integrated in the window subassembly (formed by the disk, cuffs, cooling and cooling-diagnostic rings) and for the complete window⁵. The tests refer for instance to the check of the geometrical tolerances, the leakage rates at the joints and the tanð measurements. In particular, the latter is important to check the quality of the diamond disk with respect to the microwave transmission and that it is not impacted by the brazing and welding steps of the unit. In the context of the testing and prototyping activities, successful pressure tests were already carried out on window mock-ups formed by the disk and the cuffs against the different pressure gradients expected to occur across the disk during the window lifetime⁸.

III. DEMO Brewster-angle diamond window

The Brewster-angle diamond window is a key component in the context of the frequency tunable gyrotrons. It consists of an elliptical CVD diamond disk brazed to two copper WGs at the Brewster angle of 67.2° for diamond, as shown in Fig. 11. The operation of this window concept was successfully shown for a high power gyrotron (~1 MW) working in the short pulse regime (< 10 ms) without any cooling of the window¹⁰. In the frame of the DEMO Heating and Current Drive (HCD) Work Package (WP) of the Power Plant Physics and Technology (PPPT) program launched by the EUROfusion Consortium, this window concept is being investigated for long pulse gyrotron operation at 2 MW power levels. Three main issue to solve are identified along this path. The first challenge is to produce a very large area diamond disk suited for a 63.5 mm inner diameter WG, compatible with 2 MW microwave transmission. In fact, looking at Fig. 11, it can be observed that with WG aperture greater than 50 mm, the diamond disks are required to have a diameter greater than 140 mm, which represents the current limit for optical grade CVD diamond growth.



Fig. 11. Diamond Brewster window configuration.

An aperture of 63.5 mm requires a minimum disk diameter of 180 mm at the Brewster configuration and about 2 mm thickness. Available state of the art microwave plasma reactors are not able of growing diamond disks of such size. In collaboration with the industrial partner Diamond Materials GmbH (Ref. 11), experiments with an unconventional method were thus carried out in a small scale aiming to join diamond fragments by overgrowing the joint gap with diamond to obtain large diamond disks. The hot filament method was applied and a dedicated reactor was designed, assembled and tested to grow two diamond plates together. Before joining the two plates shown in Fig. 12 with the diamond overgrowth, several diamond deposition tests were performed to optimize the parameters of the hot filament method. For instance, variations between the tests included the use of different diameter of tungsten filament, different substrate temperatures, gas flow and reaction pressure.

A strong dependence of the growth rate and the quality of the diamond crystals was found with respect to the distance of the filament. The best growth with respect to quality and growth rate was observed within a distance of less than 3 mm. However, when the filament is too close to the substrate (less than 1 mm), the material quality drastically dropped as atoms of the filament are incorporated into the diamond lattice (typically tungsten). Using the optimized parameters, the overgrowth of the two plates was carried out for 150 hours leading to the positive result that the two plates grew together and formed a solid compound as shown in Fig. 13. However, there are many drawbacks affecting this method like the very low growth rate (0.1 to $1 \mu m/h$), the potential poor optical grade as atoms of the filament are anyway incorporated into the diamond lattice and thus the expected high microwave absorption of the hot filament deposited diamond (expected high values of $tan\delta$).



Fig. 12. Diamond plates with the hot filament placed on the joint gap. The position of the filament is not adjusted in height in the picture.



Fig. 13. Solid compound formed by the two diamond plates overgrown by the hot filament method (a). The model in (b) better shows the result after 150 hours deposition.

The conclusion of this investigation was that the joining is not the preferable way to go towards large Brewster-angle diamond disks. The best approach is to grow directly the 180 mm diamond disks in the microwave plasma reactor and, always in collaboration with Diamond Materials, first growth test experiments have been already initiated towards the target of a 180 mm disk with 2 mm thickness. New technologies are being thus investigated as this is a new field for diamond manufacturers. Two growth experiments for parameter optimization were already successfully carried out resulting in rather homogeneous diamond wafers with thickness of only about 300 to 450 um. One of this wafer is shown in Fig. 14. Unfortunately, due to the very small thickness, the two wafers did not remain in one piece after dissolving the silicon substrate. However, it was possible to cut a 39 mm diameter disk from the disks breaking and it was used for tand measurements at KIT. The tand was measured at the disk center by the spherical Fabry-Perot resonator and was found to be lower than 1 x 10⁻⁴. The target of a 180 mm disk of 2 mm thickness with the same mechanical and RF quality of the disks being manufactured for the ITER torus window unit is not straightforward and it requires an intense research activity. Several diamond growth experiments are currently ongoing at the laboratories of Diamond Materials in Freiburg to optimize the growth parameters aiming to meet the target.

The second challenge in the Brewster angle window development towards long pulse operation is the proper joining of the disk to the WGs via brazing or other techniques able to reduce the manufacturing residual stresses in the window. In fact, compared to the ITER torus window, the configuration in the Brewster window is more complex due to the skewed position of the disk and the consequent asymmetry in the unit. Finally, the last challenge is the design of a cooling layout able to guarantee a proper removal of the heat absorbed in the disk during the beam transmission. Different conceptual cooling layouts¹² were already investigated by FEM thermal and structural analyses considering different power and frequency scenarios (2 MW at 170 GHz, 1.5 MW at 240 GHz and 2 MW at 240 GHz). The analyses were run for a diamond disk having an elliptical shape with major axis of 140 mm, minor axis of 75 mm and a thickness of 1.7 mm. The analyses showed the necessity of having cooling channels that follow the skewed position of the disk; otherwise, the temperatures in the diamond disk due to the mm-wave losses result in values beyond the temperature limit for diamond (250°C).



Fig. 14. First diamond disk of 180 mm with thickness of only about 300 to 450 μ m. The disk as shown in the photo was still attached to the silicon substrate.

IV. Conclusions

In this paper, the development and the current status of the diamond window concepts for the EC H&CD applications both in ITER and DEMO was discussed. The diamond disk in the ITER torus window has already successfully passed the FDR in 2017. The window assembly has already achieved a sufficient mature design to start the prototyping and testing activity in view of the FDR, scheduled in 2019. The manufacturing of window prototypes is essential to check the feasibility of the proposed manufacturing and assembling sequence of the unit. For DEMO, many efforts are addressed to the development of the Brewster-angle diamond window for long pulse step-tunable gyrotron operation at 2 MW power level.

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