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# Discrimination and Visualization of ELM Types based on a Probabilistic Description of Inter-ELM Waiting Times

A. Shabbir<sup>1</sup>, G. Verdoolaege<sup>1,2</sup>, O.J.W.F. Kardaun<sup>3</sup>, A.J. Webster<sup>4</sup>,  
R.O. Dendy<sup>4,5</sup>, J.M. Noterdaeme<sup>1,3</sup> and JET EFDA contributors\*

*JET-EFDA, Culham Science Centre, OX14 3DB, Abingdon, UK*

<sup>1</sup>*Department of Applied Physics, Ghent University, Ghent, Belgium*

<sup>2</sup>*LPP-ERM/KMS, Brussels, Belgium*

<sup>3</sup>*Max-Planck-Institut für Plasmaphysik, Garching, Germany*

<sup>4</sup>*CCFE, Culham Science Centre, Abingdon, UK*

<sup>5</sup>*Department of Physics, Warwick University, Coventry, UK*

\* See annex of F. Romanelli et al, "Overview of JET Results",  
(24th IAEA Fusion Energy Conference, San Diego, USA (2012)).



## ABSTRACT

Discrimination and visualization of different observed classes of edge-localized plasma instabilities (ELMs), using advanced data analysis techniques has been considered. An automated ELM type classifier which effectively incorporates measurement uncertainties is developed herein and applied to the discrimination of type I and type III ELMs in a set of carbon-wall JET plasmas. The approach involves constructing probability distribution functions (PDFs) for inter-ELM waiting times and global plasma parameters and then utilizing an effective similarity measure for comparing distributions: the Rao geodesic distance (GD). It is demonstrated that complete probability distributions of plasma parameters contain significantly more information than the measurement value alone, enabling effective discrimination of ELM types.

## 1. INTRODUCTION

Characterization of edge-localized modes (ELMs) and ELM control are crucial for ITER. Enhancement of the physical understanding of ELMs and optimization of control and mitigation schemes necessitates the discrimination of different observed classes of ELMs. We present a technique for systematic classification of ELM types based on a probabilistic description of their properties and propose this as an aid to exploratory and confirmatory analysis for theoretical models and for quantitative evaluation of various mitigation schemes.

## 2. EXPERIMENTAL SETUP

The proposed technique was employed for discrimination of type I and type III ELMs from a series of carbon-wall JET plasmas between the years 2000 and 2009. From the range of discharge numbers [50564, 76871], a database of 69 JET plasmas pertaining to type I ELMs, 27 JET plasmas of type III ELMs and 5 JET plasmas [66105-66109] of so-called type I high-frequency ELMs were analysed. This is an extension of the data set used earlier by Webster *et al.* [1] for statistical characterization of ELM types. The analysis, in this work, has been restricted to time intervals in which the plasma conditions were quasi-stationary. Further, all experiments dealing with ELM control and mitigation techniques have been excluded.

A robust algorithm was developed for the extraction of inter-ELM time intervals from the measured Balmer-alpha radiation signal from deuterium ( $D\alpha$ ) at JET's inner divertor. Inter-ELM waiting time extraction is illustrated in Figure 1.

The Weibull distribution, based on experimentally motivated assumptions, has recently been shown to be a good model for the waiting time distribution, especially for type III ELMs [1]. Hence, we use the Gaussian and Weibull PDFs, as illustrated in Figure 2, for describing the series of waiting times emerging from each pulse.

In addition, the density-normalized input power ( $\langle P_n \rangle$ ) and the normalized electron temperature  $\langle T_e \rangle$  were also included in the dataset. A Gaussian probability distribution was fit to time slices of the signals for the plasma parameters during ELM activity.  $\langle P_n \rangle$  and  $\langle T_e \rangle$  are given as follows:

$$\langle P_n \rangle = \frac{P_{input}}{n_{e,(vol.avg)} * Volume}, \text{ where } P_{input} = P_{ohmic} + P_{NBI} + P_{ICRH}$$

$$kT = \langle T_e \rangle = \frac{1}{3} * \frac{W_{thermal}}{n_{e,(vol.avg)} * Volume}$$

### 3. CLASSIFICATION IN PROBABILITY SPACES

The plasma parameters used for discriminating between ELM types were translated into suitable PDFs, hence subsequent processing in the corresponding space of probability distributions is required. Object classification is studied in the domain of *pattern recognition*, which essentially relies on geometric concepts, particularly distance. Thus, we employ the mathematical framework of *information geometry*, which treats a family of PDFs as a space wherein each point represents a single PDF, allowing the calculation of the Rao geodesic distance (GD) between probability distributions [2]. A closed-form expression for the GD, existing in the case of a univariate Gaussian model,  $(x: \mu, \sigma)$ , and univariate Weibull model  $(x: \eta, \beta)$ , allows accurate and fast computation of the distance.

$k$ -nearest neighbour classification ( $k$ -NN), a non-parametric distance-based technique, illustrated in Figure 3 and Figure 4, was deployed for the classification of ELM types [3]. With  $k$ -NN, samples are assigned the same class as that of the majority of their  $k$  nearest neighbours. The nearest neighbours are determined by the shortest distance from the test sample (the sample whose class type is yet unknown) to the samples in the training set.

### 4. CLASSIFICATION RESULTS

The maximum likelihood best fit parameters for the PDFs of inter-ELM waiting times are illustrated in Figures 5 and 6. From visual inspection of Figure 5 it can be observed that both the mean and standard deviation of the inter-ELM waiting times are determinant of ELM type. Further, Figure 5 indicates a positive correlation between the mean of the inter-ELM waiting time and the standard deviation.

Classification of ELM types was performed using a 1-NN classifier (10-fold cross-validated). The success rate (SR) is defined as the percentage of correct classifications, i.e. the percentage of type I and type III ELMs correctly classified. The results are shown in Table 1. Class-wise success rates ( $SR_I$  and  $SR_{III}$ ) are also indicated. It can be readily observed from Table 1, that the success rates using the GD are significantly higher than with the Euclidean distance measure (ED), hence validating that the probabilistic description of plasma parameters contains significantly more information than single measurement values (or averages) alone. Thus, the distribution of inter-ELM waiting times is a crucial predictor for ELM types. Weibull PDFs give a marginally higher success rate than Gaussian PDFs. This can be attributed to their quality of being a better fit to the type III ELM waiting times [1]. Addition of the global features ( $\langle T_e \rangle$  and  $\langle P_n \rangle$ ) to the predictor set also brings a modest improvement in success rates.

## 5. CONCLUSIONS AND OUTLOOK

An automated discriminator between ELM types has been presented and it has been shown that a probabilistic description of plasma parameters, in conjunction with the Rao geodesic distance as a proper PDF similarity measure, improves classification performance.

In future work the developed technique will be applied for classifying additional ELM types, such as type II ELMs, and mapping them in the machine operational space. Furthermore, the method will be used for quantifying ELM properties between various operational regimes (e.g. carbon wall vs. metallic wall in JET), for inter-machine comparison of ELM behavior and for systematic quantification of the effectiveness of ELM mitigation schemes.

## ACKNOWLEDGEMENTS

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Distance	Predictor	SR	SR <sub>I</sub>	SR <sub>III</sub>
GD	Gaussian PDFs for waiting times	89.31 (0.06)	95.04 (0.06)	83.38 (0.12)
	Weibull PDFs for waiting times	90.50 (0.08)	96.21 (0.05)	84.78 (0.18)
	Gaussian PDFs for waiting times, $\langle T_e \rangle, \langle P_n \rangle$	91.38 (0.07)	98.77 (0.02)	84.00 (0.12)
ED	Average waiting times	83.50 (0.07)	92.94 (0.02)	74.06 (0.15)
	Weibull PDFs for waiting times	87.36 (0.06)	95.03 (0.02)	79.70 (0.10)
	Average waiting times, $\langle T_e \rangle, \langle P_n \rangle$	85.12 (0.07)	92.87 (0.03)	77.37 (0.14)

Table 1: Success rates (SR) using a 1-NN classifier based on GD and ED. Success rates for each class (SR<sub>I</sub> and SR<sub>III</sub>) individually are also listed. The standard deviation of each result is mentioned in parentheses.

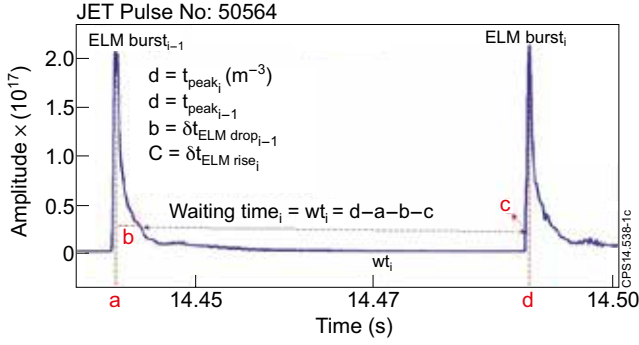


Figure 1: Illustration of the inter-ELM waiting time extraction algorithm where each discharge contains  $(N+1)$  ELM bursts and hence  $N$  waiting times.

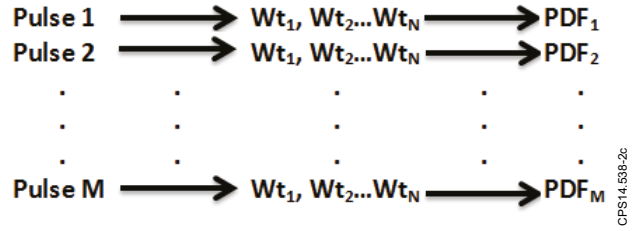


Figure 2. Each pulse is represented as a series of waiting times, followed by modelling by a suitable probability distribution function (PDF), where there are  $M$  pulses and each pulse has  $N$  waiting times.

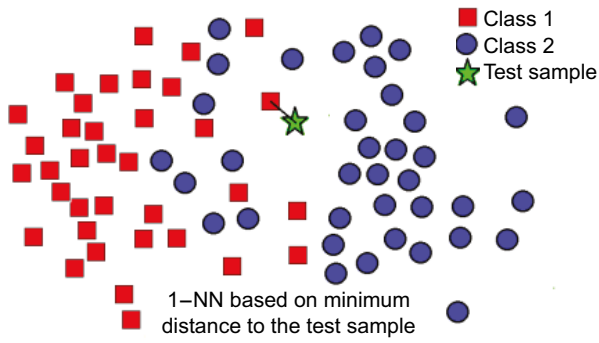


Figure 3: Illustration of 1-NN classifier. The test sample is assigned to Class 1, as the nearest neighbour of the test sample belongs to this class.

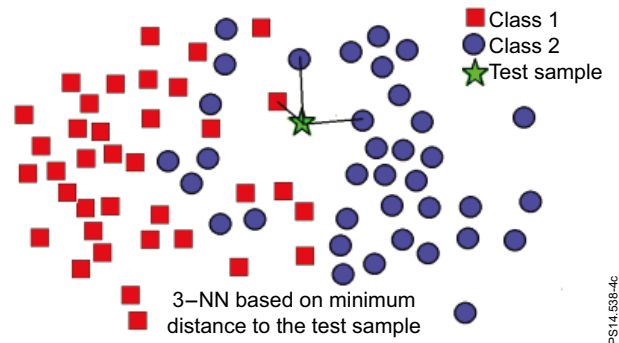


Figure 4: Illustration of 3-NN classifier. The test sample is assigned to class 2, to which the majority of the nearest neighbours belong.

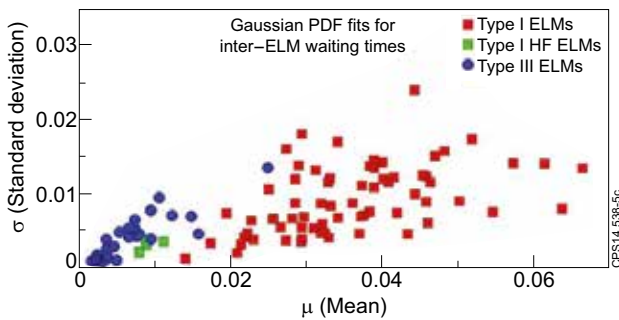


Figure 5: Maximum likelihood best fit parameters for a Gaussian PDF for each ELM type.

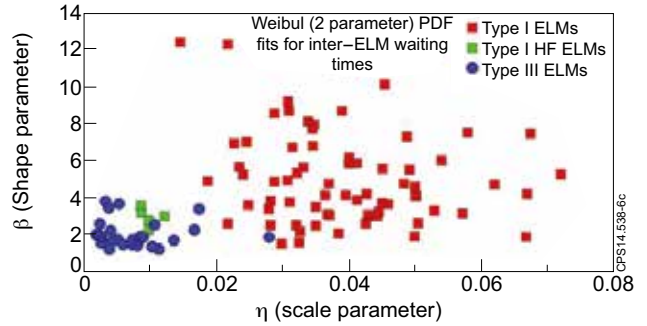


Figure 6: Maximum likelihood best fit parameters for a Weibull (2P) PDF for each ELM type.