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## ABSTRACT.

The scaling of heat transport in tokamak experiments with the normalized plasma pressure  $\beta$  is the subject of ongoing discussion. We investigate the matter by performing linear and nonlinear gyrokinetic simulations using the GENE code. In addition, first results in JET geometry are presented.

## 1. INTRODUCTION

A number of tokamaks have performed scaling experiments in which the normalized plasma pressure  $\beta$  was varied. High  $\beta$  is fundamental both for fusion reaction rates and the bootstrap current. However, reported scaling exponents of the normalized energy confinement time  $-B\tau_E \propto \beta^\alpha$  differ widely, from  $\alpha = 0$  for JET [1] and DIII-D [2] to  $\alpha = -0.9$  for ASDEX Upgrade [3] and  $\alpha = -1.4$  for JET [4]. In order to get a better understanding of that property, one can use computer models, varying  $\beta$  while keeping all other parameters constant. Simulations have to include both ion and electron species, and consider magnetic field fluctuations in order to capture finite- $\beta$  effects. Such simulations have been performed by various groups both on the gyrofluid [5, 6] and on the gyrokinetic side [7, 8, 9]. In the present work, we employ GENE [10, 11], an electromagnetic flux tube code that solves the gyrokinetic Vlasov equation self-consistently with the corresponding field equations. It is designed to run in any local MHD equilibrium geometry, using explicit 4th order Runge-Kutta time stepping, and operating in Fourier space both in toroidal as well as in radial direction. A comprehensive review of gyrokinetic theory can be found in [12].

## 2. NUMERICAL PARAMETERS

The point of operation is chosen on the basis of the Cyclone Base Case, as defined in [13]. A  $101.78 \times 125.66$  perpendicular box employs 192 radial modes of both positive and negative sign (24 for linear simulations), and 24 positive toroidal modes. The normalized gradients responsible for driving the turbulence are  $R/L_{Ti} = R/L_{Te} = 6.9$ , and  $R/L_n = 2.2$ . For the ion-electron mass ratio, hydrogen was chosen.  $\beta$  is defined as  $8\pi n_e 0T_{ref}/B_{ref}^2$ , where  $n_{e0}$  is the electron density,  $T_{ref}$  the normalization temperature, and  $B_{ref}$  the magnetic field.

Linear simulations were performed at  $k_y = 0.2$ , which is close to the maximum in the nonlinear transport spectra. Since the Cyclone Base Case was designed to study Ion Temperature Gradient (ITG) modes, these are dominant for low  $\beta$ . As  $\beta$  is increased, the ITG growth rate is reduced, and at a value of  $\beta_{crit,TEM} = 0.01$ , Trapped Electron Modes (TEM) surpass the ITG modes (see Fig.1). As predicted by MHD for ideal ballooning modes, at a threshold  $\beta_{crit,KBM} = 0.013$ , ballooning modes become dominant, more specifically their kinetic variant (KBM). However, it is to be considered that KBMs become unstable even before that point, and remain subdominant for a short while. To determine that point, we switched from the initial value solver to its eigenvalue counterpart which is also available in GENE [14]. Fig.2(a) shows how the KBM growth rate behaves around the critical point  $\beta_{crit} = 0.0114$ . Note that the MHD prediction for that value is  $\beta_{crit,MHD} = 0.01344$ .

A noteworthy detail is that while in kinetic theory, a finite  $k_y$  results in a downshift of the critical

$\beta$ , it can be seen that this shift is not very big, and that for smaller values of  $\beta$ , the modes becomes fully stable. Consequently, it is unlikely that nonlinearly, these modes are excited well below the  $\beta$  threshold.

### 3. NONLINEAR RESULTS

Nonlinearly, the ITG branch of the electrostatic ion heat flux  $Q_i^{\text{es}}$  (see Fig.2(b)) shows a very similar behavior to the linear growth rate: a steady decline with increasing  $b$  can be observed. Also noteworthy is the fact that while  $Q_i^{\text{es}}$  is getting smaller,  $Q_e^{\text{es}}$  remains roughly constant, and  $Q_e^{\text{em}}$  becomes increasingly important. However, at  $\beta \approx 0.008$ , some new mechanism seems to kick in and cause a decrease in the electron fluxes.

### 4. APPLICATION TO JET GEOMETRY

As the  $\hat{s} - \alpha$  geometry is a strong approximation of a real tokamak equilibrium, especially in high  $\beta$  shaped plasmas, it is interesting to study the  $\beta$  dependence of turbulence in a realistic geometry. This has been performed in the conditions of a JET discharge (Pulse No: 68595) which is part of the recent dedicated  $\beta$  scan experiment (see [4]). The normalized parameters taken at midradius are  $\beta = 0.0105$ ,  $R/L_n = 1.4$ ,  $R/L_{Te} = 4.8$ ,  $R/L_{Ti} = 5.8$ ,  $q = 1.6$ ,  $\hat{s} = 1.1$ , and  $T_i/T_e = 0.86$ . The triangularity is relatively high ( $\delta = 0.4$ ), as well as the Shafranov shift which compresses the magnetic surfaces on the low field side. The TRACER code [16] was used to reconstruct the geometry of a flux tube according to this equilibrium.

Nonlinear simulations were performed in this configuration for four different  $\beta$  values covering the experimental range:  $\beta = 0.0085, 0.0105, 0.0112, \text{ and } 0.012$ . Fig.3(a) shows a very weak dependence of the ion and electron heat fluxes over this range of  $\beta$ . This clearly contrasts with the experimental observation of a strong degradation of confinement with increasing  $\beta$ , but agrees with a fluid modeling which suggests that this experimental degradation is due to a mismatch in the dimensionless parameters [4]. It is also worth mentioning that, in contrast with simulations in  $\hat{s} - \alpha$  geometry, the electromagnetic contribution is negligible compared to the electrostatic fluxes.

Linear and nonlinear scans in normalized ion temperature gradient  $R/L_{Ti}$  were also performed around the experimental value ( $R/L_{Ti} = 5.8$ ) in order to identify a threshold  $(R/L_{Ti})_{\text{crit}}$  from which ITG modes start to drive turbulence. Fig.3(b) indicates, as a function of  $R/L_{Ti}$ , the growth rate of the most unstable mode from the linear scan as well as the ion and electron electrostatic heat fluxes from three nonlinear runs. The accurate identification of a critical value from the linear scan is difficult because of a knee around  $R/L_{Ti} = 5$ , but one could consider  $(R/L_{Ti})_{\text{crit}} \approx 3.6$  as a realistic value. In any case, the value identified from the nonlinear simulations,  $(R/L_{Ti})_{\text{crit}} \approx 4.7$ , appears to be larger than the linear threshold. This is in agreement with the so-called Dimits shift first reported in [13].

### SUMMARY

The present work represents a first step into the high- $\beta$  regime which is numerically challenging but necessary to investigate if one is to compare simulations with experiments. Close to  $b_{\text{crit}}$ , the

GENE results exhibit a substantial drop of the heat flux, which cannot fully be explained with linear physics. For JET Pulse No: 68595, we find that the resulting ITG turbulence is subject to a Dimits shift but only weakly dependent on  $\beta$  (keeping all other simulation parameters fixed).

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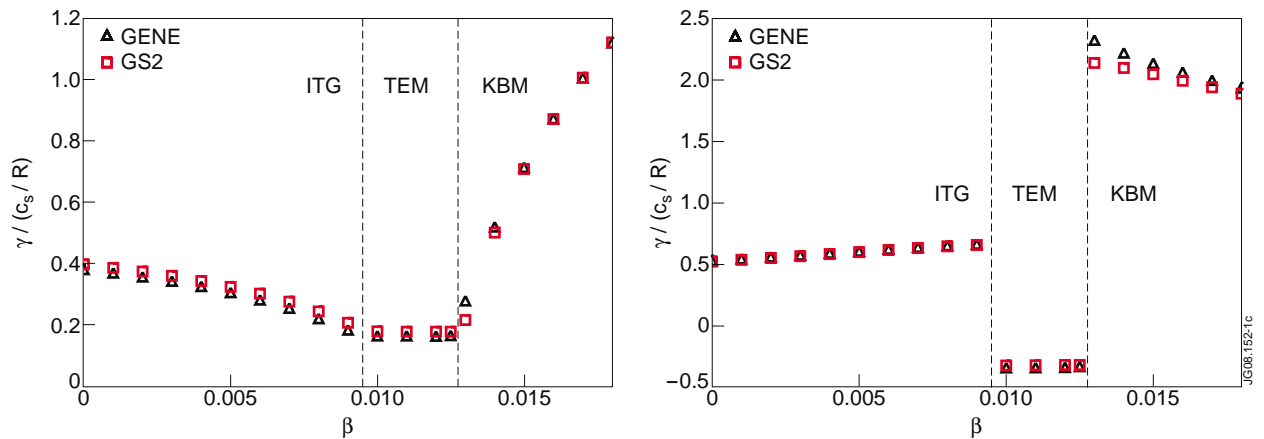


Figure 1: Growth rate and frequencies as a function of  $\beta$ . GS2 [15] values obtained for verification show very good agreement with GENE results.

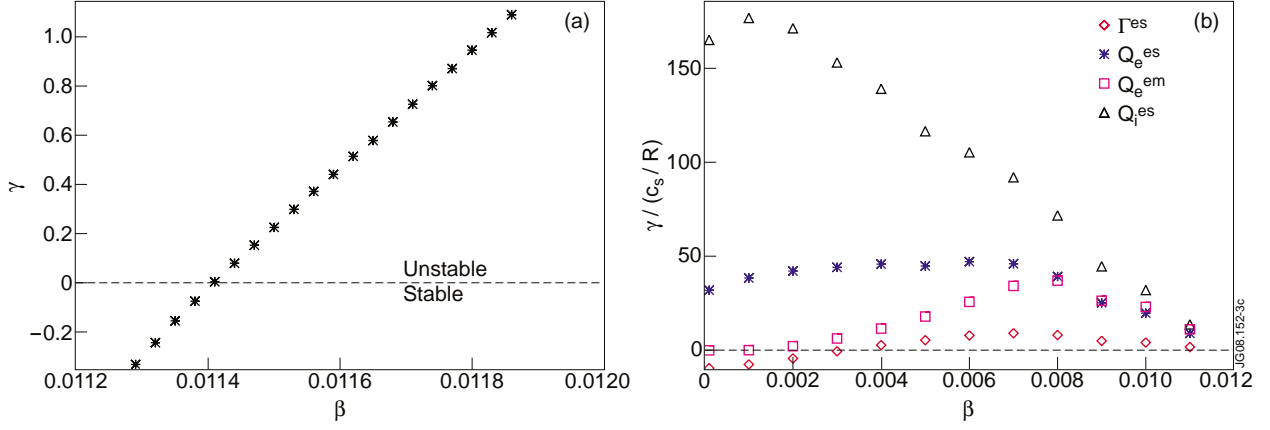


Figure 2: (a): Growth rate of the kinetic ballooning mode as a function of  $\beta$ . (b): Saturated heat and particle flux values as functions of  $\beta$ . For large  $\beta$ , the fluxes drop to lower levels than one would expect from the linear physics.

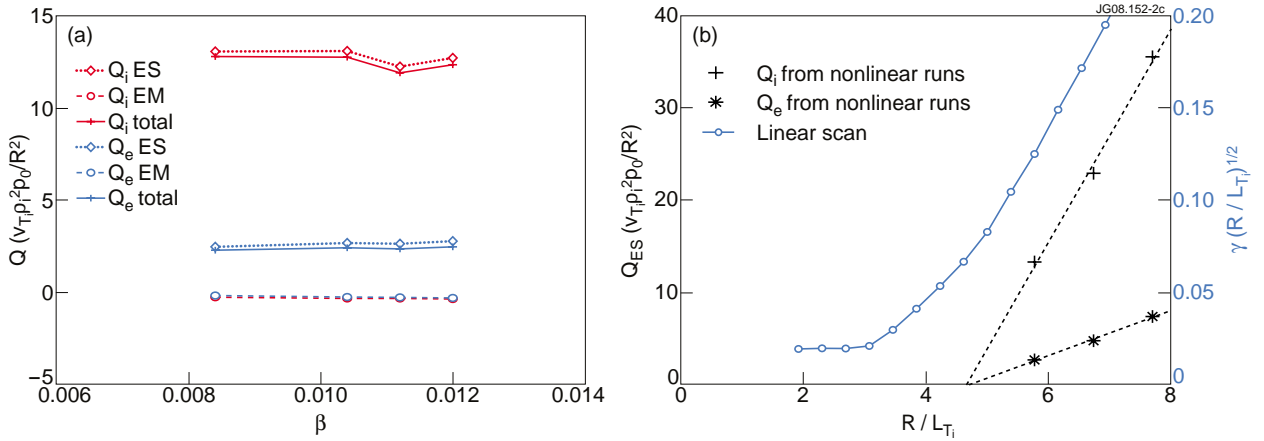


Figure 3: (a): Ion ( $Q_i$ ) and Electron ( $Q_e$ ) ElectroStatic (ES) and ElectroMagnetic (EM) heat fluxes as a function of  $\beta$ , after full saturation of nonlinear simulations. (b): Linear and nonlinear scans of the normalized ion temperature gradient: the linear growth rates indicate a threshold  $(R/L_{Ti})_{crit} \approx 3.6$  whereas the fit from nonlinear heat fluxes suggests  $(R/L_{Ti})_{crit} \approx 4.7$ .